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PPG Ecologia & Conservação



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PROGRAMA DE PÓSGRADUAÇÃO EM ECOLOGIA E CONSERVAÇÃO DA  
BIODIVERSIDADE

JANAINE ISABELA DA SILVA ROCHA

**DESVENDANDO FATORES QUE AFETAM A BIOMASSA ACIMA DO SOLO  
EM FLORESTAS TROPICAIS SECUNDÁRIAS**

ILHÉUS – BAHIA

2023



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Tese apresentada à Universidade Estadual de Santa Cruz, para obtenção do título de doutor em Ecologia e Conservação da Biodiversidade

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Orientador: Prof. Dr. Daniel Piotto

Co-orientador: Prof. Dr. Luiz Fernando Silva Magnago

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## SUMÁRIO

RESUMO: .....	9
ABSTRACT .....	10
INTRODUÇÃO GERAL .....	11
REFERENCIAS: .....	14
CAPITULO I.....	17
Effect of soil bulk density on aboveground biomass in Neotropical forests .....	18
Abstract.....	19
Introduction .....	20
Material and methods .....	21
Results .....	24
Discussion.....	25
Acknowledgements .....	27
References .....	27
CAPÍTULO II.....	36
Litter production in successional forests of southern Bahia, Brazil .....	37
Abstract.....	38
Introduction .....	39
Methods .....	41
Results .....	43
Discussion.....	44
Conclusion.....	46
Acknowledgements .....	47
References .....	47
CAPITULO III .....	63
Aboveground biomass variation in working landscapes of southern Bahia, Brazil .....	64
Abstract.....	65
Acknowledgements .....	65
Introduction .....	66
Material and methods .....	68
Results .....	71
Discussion.....	74

References .....	77
CAPITULO IV .....	85
Fatores que afetam a biomassa acima do solo em florestas tropicais secundárias .....	86
Resumo .....	87
Introdução .....	88
Material e Métodos .....	89
Resultados .....	94
Discussão .....	99
Conclusões .....	101
Referências .....	102



## **Desvendando fatores que afetam a biomassa acima do solo em florestas tropicais secundárias**

### **RESUMO:**

As florestas tropicais representam uma região de grande importância para a conservação da biodiversidade mundial, essas florestas desempenham diversos papéis ecológicos, como habitat natural de muitas espécies, funcionamento do ecossistema, além de prestar diversos serviços ecossistêmicos como o armazenamento de carbono, que é essencial para a regulação do clima mundial. Porém essas florestas tropicais estão cada vez mais ameaçadas e sendo convertidas em outro tipo de uso do solo. Grande parte das florestas tropicais remanescentes são consideradas florestas secundárias, dessa forma essas florestas passaram a ter papel importante na prestação de serviços ecossistêmicos. No entanto, essas florestas são ambientes complexos e dinâmicos, e compreender quais fatores influenciam a regeneração desses ambientes se torna essencial. O presente trabalho está dividido/organizado em quatro capítulos, e em cada um destes utilizamos diferentes variáveis ambientais para entender os padrões de aumento da biomassa em florestas tropicais secundárias. Nossos resultados demonstraram que fatores como a densidade do solo afeta a biomassa nas regiões Neotropicais, mas não localmente, e que avaliar a diversidade de coberturas florestais são importantes no cenário de mudanças no uso do solo, porém as florestas maduras continuam sendo cruciais para o armazenamento de carbono. A serapilheira também pode ser um reservatório de biomassa, principalmente em florestas secundárias jovens. Por fim, demonstramos que a riqueza de espécies tem um poder preditivo para a biomassa, dentre os diversos fatores avaliados. A avaliação de diferentes fatores ambientais que podem afetar a biomassa acima do solo, podem auxiliar a prever futuras respostas nos ambientes modificados por ações humanas, contribuindo em estratégias mais eficientes para mitigação dos efeitos das mudanças climáticas globais e para conservação da biodiversidade em regiões tropicais.

## **ABSTRACT**

Tropical forests represent a region of great importance for the conservation of world biodiversity, these forests play various ecological roles, such as natural habitat for many species, ecosystem functioning, in addition to providing various ecosystem services such as carbon storage, which is essential for regulating the world's climate. But these tropical forests are increasingly threatened and being converted into another type of land use, most tropical forests are considered secondary forests, so these secondary forests have come to play an important role in providing ecosystem services. However, these forests are complex and dynamic environments, and understanding which factors influence the regeneration of these environments becomes essential. We divide this work into four chapters, and in each of these we use different environmental variables to understand patterns of biomass increase in secondary tropical forests. Our results demonstrated that factors such as soil density affect biomass in neotropical regions, but not locally, and that evaluating forest cover diversity are important in the scenario of changes in land use, however mature forests remain crucial for storage. of carbon. Litter can also be a reservoir of biomass, mainly in young secondary forests. Finally, we demonstrate that species richness has a predictive power for biomass, among the various factors evaluated. Assessments of different environmental factors that may affect aboveground biomass can help predict future responses in environments modified by human actions, contributing to more efficient conservation strategies.

## INTRODUÇÃO GERAL

As florestas tropicais armazenam em torno de 70 a 80% do carbono terrestre total (Houghton, 2008), 37% do carbono terrestre na forma de biomassa vegetal (Aguiar et al., 2016) e a maior parte da diversidade de árvores do mundo (Slik et al, 2015). Nos últimos anos esses estoques globais de carbono na biomassa florestal foram reduzidos principalmente pela degradação florestal, com a conversão de florestas para outros usos da terra (FAO, 2015; ter Steege et al, 2015). Poucas das florestas tropicais remanescentes podem ser consideradas florestas primárias, as florestas secundárias representam mais de 50% das florestas atuais (Willis et al. 2004; FAO, 2015; Lenox et al, 2018), florestas com algum grau de modificação, ou impactadas por práticas de manejo na agricultura, silvicultura e outras atividades humanas (Potapov et al., 2017) sejam elas florestas primárias degradadas florestas secundárias em regeneração (Chazdon et al., 2009).

As florestas secundárias se tornaram globalmente relevantes nas paisagens tropicais e a principal cobertura florestal remanescente em muitas regiões (Lenox et al., 2018). Estas podem se recuperar rapidamente, retornando a estrutura e biomassa da floresta madura em torno de 40 anos (Dent e Wright, 2009; Piotto et al, 2009). Essa recuperação da estrutura e diversidade de plantas possibilita a recuperação da fauna, representando um importante refúgio para muitas espécies silvestres (Chazdon et al, 2009; Dent e Wright, 2009), importante sumidouro global de carbono (Eva et al. 2012), podendo mitigar a extinção causada pelo declínio de áreas de hábitat naturais (Wright e Muller-Landau, 2006).

A Mata Atlântica representa um importante *hotspot* de biodiversidade e endemismo mundial (Ribeiro et al. 2009), porém essa é considerada uma das regiões que ainda passam por processo de degradação e tem sido drasticamente transformadas suas florestas naturais em outros tipos de uso do solo, com sua vegetação original próximo de 12 a 28% (Rezende et al., 2018) e grande parte dessas florestas são

consideradas florestas tropicais secundárias. O sul da Bahia possui um alto nível de riqueza e endemismo de espécies do que outras regiões da Mata Atlântica (Martini et al. 2007; Thomas et al. 1998), além de ser considerado um dos refúgios florestais do Pleistoceno (Whitmore e Prance 1987), porém essas florestas também foram historicamente convertidas em outros tipos de uso do solo.

Estudos tem mostrado que as mudanças na estrutura e na biomassa durante a regeneração das florestas são determinadas por interações complexas, principalmente em ambiente com alta diversidade de espécies como a mata atlântica. Essas interações podem ocorrer com fatores bióticos e abióticos, mudanças nas condições ambientais, como nutrientes, temperatura e luz (Brow e Lugo, 1990; Dent et al, 2012), por fatores como histórico no uso do solo, contexto da paisagem (Bowen et al, 2007; Chazdon et al, 2009, 2014) e riqueza e composição de espécies (Powers et al, 2009). Por exemplo, as características dos solos compõem fatores limitantes na recuperação florestal, e é afetada principalmente pela redução na oferta de nutrientes minerais no solo (Ceccon et al., 2002; Baker, 2003; Campo e Vazquez-Yanes, 2004), mas além disso a densidade do solo pode limitar a absorção de nutrientes pelas plantas, reduzindo a produtividade primária líquida (Wright et al, 2011; Alvarez-Clare et al, 2013), a capacidade fotossintética das plantas (Cordell et al., 2001), limitando as taxas de crescimento das árvores (Davidson et al, 2004) e por fim, altera a biomassa em florestas secundárias (Campo e Vazquez-Yanes, 2004).

Outro fator que já foi relatado pela literatura com importante efeito sobre a biomassa de florestas secundárias foi a riqueza de espécies (Rozendaal e Chazdon, 2015). A variação na produtividade do ecossistema pode ser determinada pela riqueza de espécies (Tilman et al., 2001; Finegan et al, 2015), pois ambientes com maior diversidade de espécies podem abrigar espécies com alta produtividade (Loreau e Hector, 2001; Cardinale et al. 2007; Finegan, 2015) e grandes árvores (Clark e Clark, 2000; Marshall et al., 2012; Slik et al., 2013). Nessas florestas secundárias, outro fator essencial a se avaliar é o histórico de uso do solo, que pode afetar as taxas de regeneração das florestas, principalmente o início da regeneração (Marín-Spiotta et al, 2007; Griscom e Ashton, 2011), aliado a intensidade e frequência dos eventos de perturbação (Barlow et al., 2012; Aragão et al., 2014).

Essas características são de extrema importância para entender quais fatores ambientais determinam a taxa de acumulação de biomassa na regeneração das florestas

tropicais. Algumas pesquisas vêm sendo realizadas com intuito de entender o papel de variáveis ambientais que controlam a biomassa acima do solo em florestas tropicais (Clark e Clark, 2000; Zarin et al, 2001; Baker et al., 2004; Cleveland et al., 2011). No entanto, poucos estudos conseguiram compilar uma grande quantidade de variáveis ambientais e relacionar essas com a biomassa acima do solo.

Com intuito de abordar diferentes variáveis que podem afetar a biomassa de florestas secundárias, esta tese foi dividida em quatro capítulos. No capítulo 1, realizei uma revisão sistemática avaliando como a densidade do solo influenciava a biomassa acima do solo na região Neotropical. No capítulo 2, avaliei como a serrapilheira pode ser um importante reservatório de biomassa em florestas tropicais, em diferentes idades. No capítulo 3, utilizei diferentes coberturas florestais, os Sistemas Agroflorestais (SAF) cabruças, SAF convencional, florestas maduras e florestas secundárias de 40, 25 e 10 anos, para avaliar como as diferentes coberturas florestais podem ser importantes para o armazenamento de carbono na paisagem. No capítulo 4, utilizei as variáveis riqueza de espécies, densidade de indivíduos, variáveis químicas do solo: Fósforo (P), pH, potássio trocável (K), potencial de acidez trocável ( $H + Al$ ), sódio (Na), cálcio (Ca), magnésio (Mg), cálcio e magnésio (Ca+Mg), alumínio (Al), soma de bases (SB) matéria orgânica (OM), capacidade de troca catiônica (CTC), porcentagem de saturação de bases (V), capacidade efetiva de troca catiônica (CEC) e saturação por Al (m), características físicas do solo: densidade do solo e granulometria, histórico do uso do solo e declividade, para avaliar como essas variáveis afetavam a biomassa acima do solo.

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## **CAPITULO I**

## **Effect of soil bulk density on aboveground biomass in Neotropical forests**

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## **Abstract**

Natural forests are of great importance for global carbon storage. Therefore, it is crucial to quantify and understand the spatial variation of forest biomass and to identify the main factors that control forest biomass across the globe. Many studies have been carried out to evaluate the influence of soil bulk density on commercial farming and annual crops, while few studies report the relationship with aboveground biomass in forests ecosystems. Here, we carried out a systematic review in order to compile information and evaluate how soil bulk density and aboveground biomass are related in neotropical natural forests. We conducted searches on three main research websites (SCOPUS, Web of Science and Scielo), in university libraries. We compiled data with 52 observations of aboveground biomass, containing the following information: average values of soil bulk density and aboveground biomass. Generalized linear mixed-effects models were used to evaluate significant relationships between soil bulk density and aboveground biomass. The results showed that aboveground biomass decrease significantly ( $p < 0.01$ ) with increase in soil bulk density. Based on our results, we suggest that soil bulk density can be used as a predictor of aboveground biomass in neotropical natural forests, thus contributing to the assessment of impacts in forest ecosystems.

*Keywords:* biomass, carbon, soil bulk density, soil properties, soil compaction

## **Introduction**

Forest ecosystems cover a large part of the earth's surface and play an important role in several aspects, be it in the functioning of the ecosystem, biodiversity protection (Reilly and Spies 2015) and regulation of the global carbon and climate cycle (Ahmad et al. 2014; Coulston et al. 2015; Lorenz and Lal 2010). Globally, forests account for more than 80% of the earth's plant biomass (Kindermann et al. 2008; Pan et al. 2013), of these, 80% is in above ground tissues and 20% below ground (Cairns et al. 1997). Tropical forests are responsible for storing 55% of forest carbon, while 32% are in boreal forests and 14% in temperate forests (Houghton 2005; Pan et al. 2011).

On a large scale, the main factors controlling forest biomass distribution are the climatic (Becknell et al. 2012; Myneni et al. 1997; Vilanova et al. 2018) and edaphic conditions (Baker et al. 2009; Becknell and Powers 2014; Lee et al. 2018). Soils have a fundamental role in forest ecosystems by providing water and nutrients that affect energy cycles responsible to ensure forest productivity (Dominati et al. 2010). Soil conditions have already been reported as one of the main variables that influence the structure and dynamics of forests (Quesada et al. 2012; Tokunaga 2006), with direct effects of soils on tree growth (Cleveland et al. 2011). Whilst chemical characteristics of soils (e.g. pH) affect nutrient availability, (Gourlet -Fleury et al. 2011; Ostertag 2010; Paoli and Curran 2007; Slik et al. 2010) physical properties affect plant anchorage and establishment, with soil bulk density being one of the main factors exerting a large effect on plant growth (Kozlowski 1999; Nawaz et al. 2013).

Soil bulk density can influence plant growth in several ways. The increase in density decreases the distribution and continuity of the soil pores (Benthaus and Matthies 1993), altering the roots growth, as well as their ability to expand in large volumes of the soil (Dunbabin 2007). Consequently, they reduce available spaces for air and water, and the water filled pores influence the amount of stored water available for plants and soil aeration (Hallett and Bengough 2012). The water available in the soil pores also alters the ability of nutrients to move in the soil solution (Martinez and Zinck 2004).

The lack of aeration in the pore spaces of a soil is determinant for the ability to carry oxygen through the soil to the roots (Lipiec and Hatano 2003). When oxygen

diffusion is reduced in the soil, it may cause roots damage that leads to their inability to function (Engelaar and Yoneyama 2000). This partial O<sub>2</sub> deficiency due to pore spaces reduction, can cause plants to send a hormonal signal by the roots, such as ethylene production and abscisic acid, which inhibit roots and stems growth and causes leaf abscission (Grichko and Glick 2001; Passioura 2002; Silva et al. 2004). Thus, these stresses caused by soil bulk density increment can limit plant growth and aboveground biomass storage (Ferry et al. 2010; Hirai et al. 2003; Schoenholtz et al. 2000).

In forest ecosystems, soils can be naturally compacted by the weight of growing trees (Kozlowski 1999), especially in soils with a fine texture, with higher clay content (Arshad et al. 1996). In contrast, the anthropic soil compaction is mainly caused by mechanization, farming and grazing (Silva et al. 2008). According to a global assessment, more than 60% of forests are recovering from anthropogenic past disturbances (FAO 2006), with many areas having already undergone some process of soil alteration. This represents a major problem to the maintenance of the productivity and functioning of these forests, as their recovery can take many years.

The increase in soil bulk density is a global concern, as it indicates harsh physical conditions for plant establishment with possible effects on their productivity (FAO 2005). However, this relationship has been reported in the literature in many studies on the influence of soil bulk density as effect of soil compaction in crops and commercial planting trees systems (Carter 1990; Cambi et al. 2015; Horn et al. 2007), but few studies reported its influence on plant growth in natural forests (Zhao et al. 2010), and study of relationships in natural forests can improve the management of degraded areas. Here, we use a systematic review approach to gather information and evaluate if the soil bulk density affects aboveground biomass storage in neotropical forests.

## **Material and methods**

### *Data sources*

Articles published up to 2020 were collected from the following databases: Web of Science ([www.webofknowledge.co](http://www.webofknowledge.co)), SCOPUS (<https://www.scopus.com>), SCIELO ([www.scielo.com.br](http://www.scielo.com.br)) and in some university libraries. The combinations of search terms: "Soil bulk density," AND, "biomass" OR "tropical forest", were used. Searches were filtered to remove articles from unrelated areas, such as veterinary, engineering,

biochemistry and others. A second filter by document type was applied to remove notes and editorial material. With the search results, we selected articles by title and abstract. Afterwards, the articles were analyzed to check if they contained the necessary information, previously highlighted, and finally, the inclusion criteria were established to perform data extraction. To minimize potential uncertainties in data collection and analysis, the following criteria were applied to select appropriate studies. Five inclusion criteria were established to avoid possible bias in relation to soil bulk density and natural vegetation: 1) natural forests were considered primary forests (see Smith et al. 2002) that have not undergone any recent disturbance, and secondary forests that were free of disturbance for at least 50 years and that were naturally regenerated, 2) if studies utilized a chronosequence design for secondary forest, the data obtained from the oldest forest were used, 3) areas of planted forests, agriculture or experimental areas were excluded, 4) samples of soil bulk density were used for superficial soil layers (0-20 cm depth), and 5) the articles should necessarily present data on biomass and soil bulk density, but these articles did not necessarily test a relationship between the variables.

The selected articles that had vegetation structure variable: aboveground biomass (Mg/ha), and soil variable, soil bulk density ( $\text{g/cm}^3$ ). These data were extracted from tables, texts, graphs and supplementary material. The following information was also extracted: country, latitude and longitude, type of vegetation and type of soil. For each study, a set of climatic data using the studies geographic location was extracted. First, we extract the geographic coordinates (latitude and longitude), then we used a set of global climate data (<http://www.worldclim.org/>) to obtain data on average annual temperature and precipitation for each location, which are main variables affecting aboveground biomass (Ali et al. 2019).

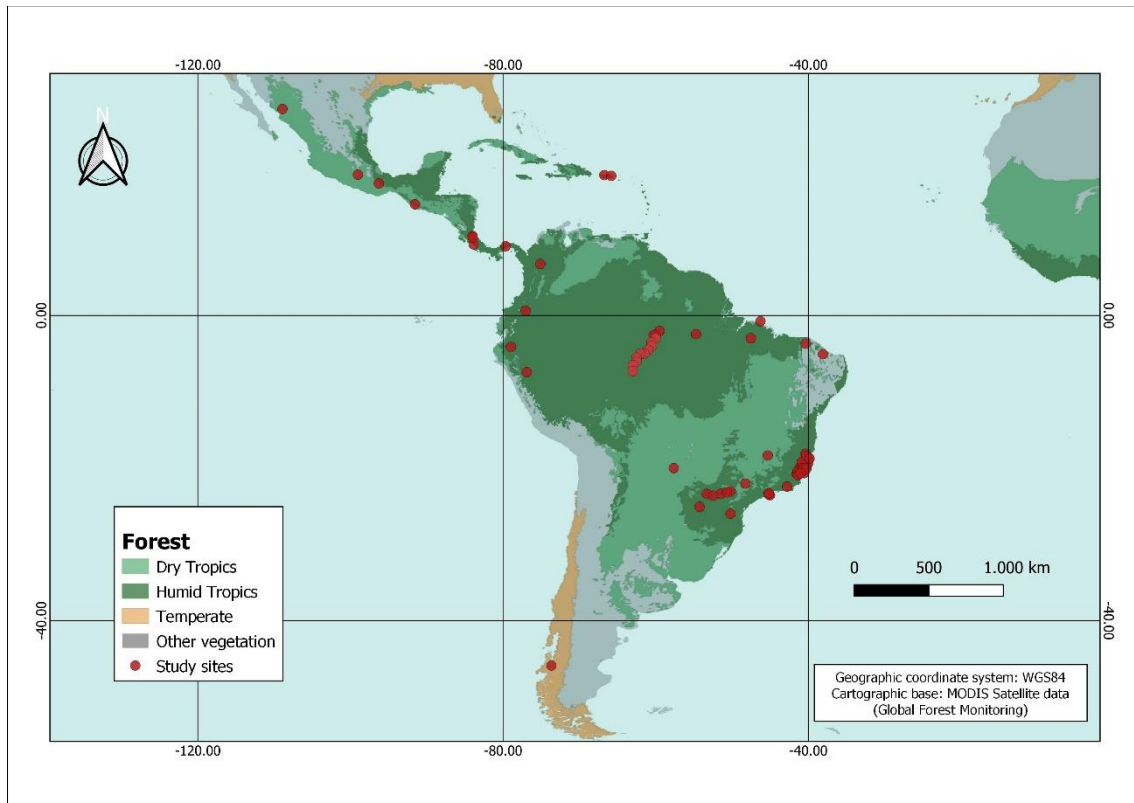


Fig 1. Global forest cover map, with geographic distribution of the 52 observations included in this study, which assess the aboveground biomass and the soil bulk density. Forest cover data based on MODIS satellite data, the Global Forest Monitoring (Hansen et al. 2010) (<https://glad.geog.umd.edu>).

### *Data analysis*

To evaluate the relationship between soil bulk density and aboveground biomass, different generalized linear mixed-effects model (GLMM) was adjusted, with soil density as fixed predictor variable, and aboveground biomass as response variable, and considering the studies with as a random factor in all models to prevent data alignment in studies containing more than one observation (studies with sampling sites in different locations) (Zuur et al. 2009). We included climatic variables in the models to assess whether the relationship between aboveground biomass could be better explained by these variables. We used five models to explore the relationship of soil bulk density with aboveground biomass: 1) a complete linear model, with soil bulk density, average annual temperature and total precipitation, as explanatory variables; 2) a model with the soil bulk density and total precipitation, as explanatory variables; 3) a simple model with only the soil bulk density as an explanatory variable; 4) a simple model with only total precipitation as an explanatory variable; 5) a null model (only the

intercept) (Table 1). Then, we calculated the Akaike information criterion corrected for the small sample size (AICc; Burnham and Anderson 2002) for each model and, for each response variable, we selected the simplest model among those with an  $\Delta\text{AICc} \leq 2.0$ . We performed all analyzes in R 3.4.4 (R Core Team 2017), with the `bbmle` (Bolker and R Development Core Team 2017), `lme4` (Bates et al. 2015) and `lavaan` (Rosseel 2012) packages.

## Results

A total of 56 observations were selected in the present study. The studies were carried out in Brazil, México, Costa Rica, Panama, Guatemala, Colombia and Porto Rico (Fig. 1). Soil bulk density ranged from 0.5 to 2.28 g cm<sup>-3</sup>, aboveground biomass ranged widely among studies locations from 80 to 294 Mg ha<sup>-1</sup>, and these values varied according to geographic location.

The results of generalized linear mixed-effects models showed that soil bulk density is correlated the aboveground biomass, since the best model with presents only density presented  $\Delta\text{AICc} < 2$ , in relation to the complete model and the null model (Table 1). We found that the increase in soil bulk density has a significant negative effect on aboveground biomass (Model 3;  $b = -0.2689$ ;  $p < 0.001$ ) in Neotropical forests (Fig. 2; Table 1).

Table 1. Model selection results, showing the difference in the values of the corrected Akaike information criterion ( $\Delta\text{AICc}$ ) for each model of each response variable. ( $\Delta_i$ : Difference in Akaike Information Criterion corrected value;  $k$ : number of parameters estimates in the model;  $W_i$ : Akaike weights).

Metric	Model	Parameters					
		$\Delta_i$	$k$	$W_i$	Intercept	Slope	$p$
Aboveground Biomass	1. Soil Bulk Density + Temperature + Total precipitation	3.2	6	0.1386	4.8428	-0.2500 -0.1084 0.1607	0.0006** * 0.2426 0.1911
	2. Soil Bulk density + precipitation	2.7	5	0.1721	4.8826	-0.2563 0.1040	0.0005* 0.3844
	3. Soil Bulk density	<b>0</b>	4	0.6799	4.8969	-0.2689	0.0002*
	4. Total precipitation	10.1	4	0.0044	5.0360	0.2026	0.118



	5. Null	9.8	3	0.0050	5.0816	-	
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\*Model selected for each response variable and corresponded to the model with the lowest AICc are in bold.

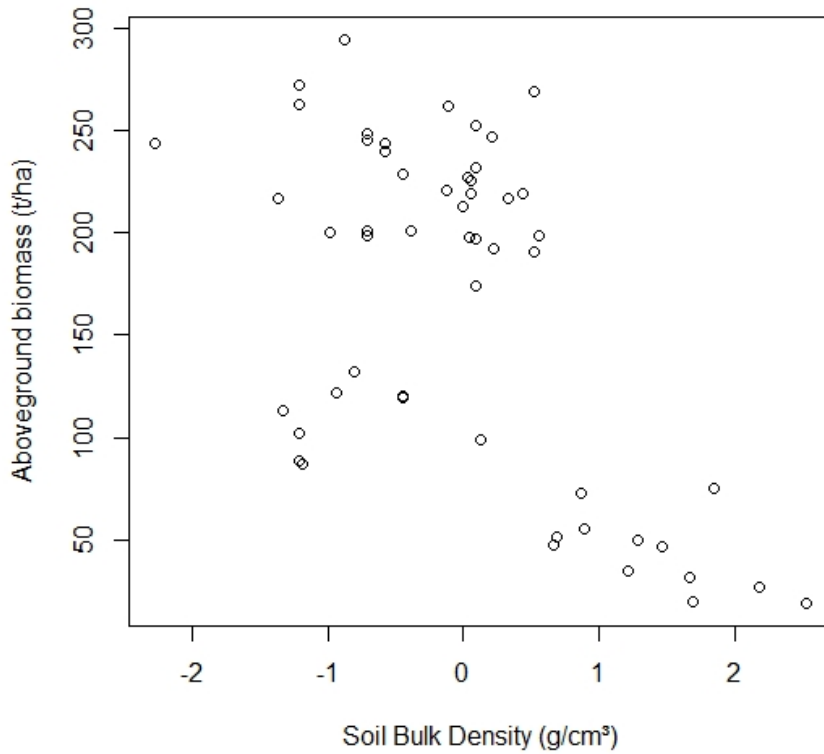


Fig. 2 Effect of soil bulk density and aboveground biomass, in Neotropical forests.

## Discussion

Based on the collected data from field studies, our analyses demonstrated that soil bulk density influences aboveground biomass. And this being the first work to evaluate this relationship in Neotropical scale, it reinforces what has been reported locally (Cheatle 1991; Gebauer and Martinková 2005; Martins et al. 2014). Some mechanisms can explain these results, for example, the increase in soil bulk density reduces the pore spaces of the soil (Benthaus and Matthies 1993). These pore diameters in the soil are the places of water and nutrients passaging, which implies in limited spaces available for the growth of roots, root penetration and rooting depth

(Kristoffersen and Riley 2005). The increase in soil bulk density implies more restrictive soil conditions to growth and root elongation to obtain water and nutrients (Quesada et al. 2009; Nawaz et al. 2013). Another important factor is that lack of aeration in porous soil spaces, caused by the increase in density, directly affects the redox transformations of some soil elements, as well as the diffusive transport of nutrients from soil to plants roots, especially under severe anoxia conditions (Lipiec and Stpniewski 1995).

Climatic variables can be considered one of the main factors that influence aboveground biomass globally (Myneni et al. 1997), mainly the ones that affect water availability for plants. However, our results did not demonstrate a significant relationship between biomass and climate variables. Soil bulk density can indirectly affect the availability of water in the soil, with hydrological restrictions, can reduce infiltration rates and water flow in the soil (Kozłowski 1999, Gregory et al. 2015), increase water outflow, which may cause soil erosion, and accelerate leaching and nutrient loss (Kang and Lal 1981; Nawaz et al. 2013). These factors can then lead to water deficits in the soil (Kramer and Boyer, 1995), strongly influencing the growth and productivity of plants (Schoenholtz et al. 2000; Dedeczek et al. 2001).

Even with the knowledge that soil characteristics vary widely, as these depend mainly on characteristics of geomorphological formation processes, origin of the geological material, age and type of soil (Hartshorn 1983), we can notice that the main plants response in the present study is about characteristics that influence the growth capacity of roots, like the soil bulk density, which can cause roots stunted growth, height and diameter reductions (Zhao et al. 2010). In the present work we found that soils physical properties, such as soil bulk density, have negative relationship on forest biomass. For example, trees grow where there are suitable soil bulk density conditions for root growth and plant development, as observed in low density soil locations, where we found the highest values of biomass.

Understanding the distribution of forest aboveground biomass is important for calculating carbon sources and how it responds to different environmental factors. We understand that other variables affect aboveground biomass, but we focus on soil characteristics, as a factor that affects plant growth. The results of this review indicated a negative relationship of soil bulk density on aboveground biomass, and that some factors may explain this relationship, such as the restriction of root growth. Although

soil bulk density has been widely used to assess growth in cropping systems, it has been little used to assess the resilience of forest ecosystems. We know that assessing soil bulk density, requires a large field effort for collections, but their incorporation into biomass assessments can contribute to the results of the assessments and here we show is an important factor that affects aboveground biomass. Finally, we suggest that more attention should be paid to reporting soil bulk density in ecological studies since it is an important factor affecting aboveground biomass worldwide.

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## **CAPÍTULO II**

## **Litter production in successional forests of southern Bahia, Brazil**

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## **Abstract**

Litter production plays an important role in the functioning of the ecosystem, providing several ecosystem services, such as nutrients cycling and carbon storage. We studied litter production patterns and its relationship with forest structure over a chronosequence of secondary forests in southern Bahia, Brazil. In the study area, 15 pairs of mature and secondary forest were used, in a chronological sequence, being 10, 25 and 40-year-old secondary forests and mature forests. Plots were created for the collection of aboveground biomass data, and within these plots, litter collectors were installed and monitored for one year. The results showed that litter production was lower in 10-year-old secondary forests when compared to older forests. On the other hand, in the 10-year-old forests, annual litter production represents 47,8% of the stored biomass, while in mature forests annual litter production represents only 4%. We found that structural variables (basal area, number of stems and canopy opening) influence significantly litter production, as well as litter as percentage of forest biomass. The study emphasizes the importance of biomass production through litterfall in regenerating tropical forests, and its importance for carbon storage and for the maintenance of ecosystem services.

**Keywords:** ecosystem functioning, regeneration, carbon, secondary succession, successional, tropical forests

## **Introduction**

Tropical forests are responsible for storing around 37% of the terrestrial carbon of the planet in the form of plant biomass (Aguiar et al.2016, USDOE 2010). Forest biomass is stored in about 80% of the aboveground biomass (Cairns et al.1997) which is related to vegetation structure (Houghton et al.2009). In tropical forests, more than 50% of primary annual production is returned to the soil in the form of organic debris in litter (Wardle et al.2004). The IPCC identified litter as one of the five carbon reservoirs in forest ecosystem (Nizami 2012), with 5% of the aboveground biomass being stored in litter (Pan et al.2011).

The return of organic matter to the soil is one of the main processes of ecosystem functioning (Alves et al.2010); it represents the transfer route of organic matter and mineral elements from the vegetation to the soil (Camargo et al.2015, Silver et al.2014, Vitousek & Sanford 1986). This process is essential in tropical forests as those are environments with highly weathered soils (Poggiani 2012) and with low fertility (Vitousek & Sanford 1986). Because of that, the vegetation depends on the cycling of nutrients contained in plant debris for the absorption of nutrients (Kuruvilla et al.2016, Rawat et al. 2010). In addition, litter production becomes essential for the functioning of the ecosystem, transferring nutrients to the soil (Pandey et al.2007), maintaining soil fertility in forest ecosystems (Guendehou et al.2014, Montagnini & Jordan 2002, Tripathi et al.2006) and for the global carbon cycle (Berg & Mcclaugherty 2014).

Litter production occurs by the elimination of vegetative parts of plants, which can be caused by senescence, abiotic factors, stress and these factors combined, as well as by the death of a plant (Chakravarty et al.2019, Krishna & Mohan 2017). The pattern of litter production depends on some factors such as climate (Sayer 2006) and vegetation structure and composition (Nickmans et al.2019, Vital et al.2007). There is a significant number of studies designed to understand the effect of climate in litter production patterns. For example, the relationship of seasonality with increased litter production (An et al. 2019), as in the warmer seasons of the year, on increasing light time, plants can prepare for more favorable growth, and thus replace the old leaves with new ones (Devi & Garkoti 2013), higher production in the dry season (Barlow et al.

2007), and decrease the production of litter with increase elevation (Majila et al.2005, Zhou et al.2007).

In addition to climate, vegetation characteristics can modify litter production, such as vegetation structure and composition (Nickmans et al.2019, Schumacher et al.2011) and the changes in development strategies of the plants with forest succession (Poorter & Bongers 2006). As the forest develops, there is an increase in the size of large trees, increase in the basal area, and the well-developed forest structure reflects a well-developed canopy, which can favor the production of litter (Kunhamu et al.2009, Vidal et al.2007). Changes in plant functional traits can affect litter production with the change of plants dominance from acquisitive to conservative traits, during secondary succession (Craven et al.2015, Facelli & Pickett 1991; Werneck et al.2001).

In theory, litter production pattern is related to the canopy development that occurs differently, depending on the individual characteristics of the species (Carrera et al. 2008). For example, in young secondary forests, the dominant species have resource acquisition characteristics, with high growth and mortality potential (Rozendaal & Chazdon 2015), fragile tissues (Reich 2014), low-density wood and short-lived leaves (Adler et al.2013), contributing more to litter production because they have leaves and branches with less lignified materials, in addition to being rich in N and low C/N ratio that can accelerate litter turnover (Zhou et al. 2019). With forest development, dominance gradually changes towards species with conservative resource characteristics, which present production of denser tissues (as a higher density of wood), high C/N ratio, long-lived leaves, low renewal leaves rates (Adler et al.2013, Craven et al.2015, Reich 2014). Thus, areas in advanced stage of forest development, with higher species diversity and abundance of late-successional species, show the poorest litter in terms of N concentrations, and with a high C/N ratio, which leads to lower litter turnover rates (Parton et al.2007, Zhou et al.2019). In this way, changes in species dominance will modify the quantity and quality of the litter and, consequently, the flows of biomass and nutrients with forest development.

Here, we used a chronosequence of secondary and mature forests of the Atlantic Forest, in Brazil, biome to assess changes of litter production with forest development. In addition, we studied the relationship of litter production and standing aboveground biomass to detect changes in plant characteristics with forest development. We hypothesized that (a) there would be an increase of litter production because of the



increase in standing aboveground biomass with forest development; (b) there would be a significant shift in the relationship of litter production and standing aboveground biomass with forest development because of changes in dominance from plants with acquisitive to conservative strategies, (c) and the increase of litter production is associated with successional changes in forest structure.

### **Study site**

The study was carried out in the Serra do Conduru State Park - PESC, located in southern Bahia, Brazil, at 14° 30'16" S, and 39° 6'36" W (Figure 1). The Park has an area of approximately 10,000 ha, composed of a forest mosaic in different stages of regeneration, from secondary forests at different ages to well-preserved areas (Piotto et al. 2009). The vegetation is classified as tropical rain forest, in the Atlantic Forest biome, with emergent, canopy, sub-canopy and herbaceous layers and extremely high species diversity (Thomas 2003). The average monthly temperature is 24°C, with an average annual rainfall of 2,000 mm evenly distributed throughout the year (Santos et al. 2018). The selected secondary forest areas were established after deforestation and burnings, followed by 1 to 2 years of cassava cultivation (Piotto et al. 2009).

### **Methods**

#### **Classification of chronosequence**

Based on aerial photographs, it was possible to estimate changes in forest cover and land use. Subsequently, using GIS, maps of the age groups of the forests were built with aerial photo sets, using remote sensing data from 1965 to 2009. Interviews with local farmers were conducted to validate information on the type and intensity of use of the land. In this way, 75 secondary forests measuring more than 3ha and adjacent to mature forests were selected. Fifteen pairs of secondary forests adjacent to mature forests were randomly selected to represent three age groups of secondary forests: 10 years (10 to 12 years), 25 years (22 to 25 years), 40 years (37 to 43 years) (Figure 1).

#### **Aboveground biomass data**

A total of 75 plots were installed in secondary forests and 15 plots in mature forests (in total we used 90 plots). In each pair of mature and secondary forests five plots of 20x10m (200 m<sup>2</sup>) were established in each age class (10, 25 and 40 years) in secondary forests and one plot of 20x30m (600m<sup>2</sup>) in mature forest. All trees with a

diameter at breast height (dbh) equal to or greater than 5cm were considered in this study, and we measure the height for all the trees. We calculated for each plot its basal area (m<sup>2</sup>/ha) and tree density (number of trees with dbh>5cm/ha). In addition, we estimated aboveground biomass using the following allometric equation for tropical forests (Chave et al.2014):

$$AGB= 0.0673 (\rho D^2 H)^{0.976}$$

based on diameter  $D$  (cm), height  $H$  (m), and wood specific gravity  $\rho$  (g.cm<sup>-3</sup>). Aboveground AGB is in Kg. Plot biomass represents the estimated biomass of all trees within a plot, which was then extrapolated to estimate the aboveground biomass (AGB) in Mg per ha. specific wood density data were collected for each species in the world database (Zane et al 2009), when species data were not available, we used genus data, and when this was not available, we used family data.

### **Litter and canopy data**

Litter production was sampled using 90 collectors established in mature and secondary forests. In each pair of mature and secondary forests, six collectors were installed with a distance of 20m between each collector, located at the central point of the forest inventory plots. The collectors consisted of a cone of a fine mesh fabric (2mm) attached to a circular wire of 1m<sup>2</sup>, installed at 50 cm aboveground. The collections of material deposited in the collectors were carried out monthly from February 2008 to January 2009. The collected litter was dried in a lab oven at 50° for 48 hours. After drying, each monthly sample was weighted, to obtain the monthly dry mass per collector. For the calculations, results from each collector for all months were added and then transformed into hectares, thus obtaining the values of litter in total weight in tons per hectare per year. At every collector, canopy opening was estimated using hemispherical photographs.

Besides aboveground biomass and structural variables, we also used as a response variable the ratio between litter biomass and aboveground biomass (here considered as a proportion of litter related to aboveground biomass), where we consider the percentage of biomass that is stored in litter in relation to aboveground biomass. For the calculation of the ratio, litter production values were divided by the aboveground biomass values, both in Mg/ha, and were multiplied by 100, to be represented in %.

### **Statistical analysis**

To assess the relation between litter production with age and the relation of aboveground biomass and litter biomass with age, we adjusted a linear mixed model (LMM), including the site as a random factor. We used LMM approach to control temporal pseudoreplication (Zuur et al.2009). Thus, for each response variable (litter production and relation of aboveground and litter biomass), we use one model with age as an explanatory variable. For the validation of the model, we tested the normality of the residues, using the Shapiro-wilk test, and plot of the residues.

Then, we investigated how the stems density (number/ha), canopy openness (%), and basal area (m<sup>2</sup>/ha), can influence litter production and how these variables influence the aboveground and litter biomass ratio. As the forest structure data was considered non-normal, we used Generalized Linear Models (GLM). For each response variable (annual litter production and aboveground and litter biomass ratio) we fit four models for each explanatory variable (stems density (number/ha), canopy openness (%), and basal area (m<sup>2</sup>/ha)): 1) a model with annual litter production as the response variable in relation to an explanatory variable (stems density or canopy openness or basal area), 2) a model with annual litter production in relation to the log of the explanatory variable (stems density or canopy openness or basal area), 3) model with a log of annual litter production and log of the explanatory variable (stems density or canopy openness or basal area), and finally 4) a model with log annual litter production in relation to an explanatory variable (stems density or canopy openness or basal area). The same was done with the other response variable (aboveground and litter biomass ratio). We considered the best model when its AICc was at least two units lower than the subsequent best model. The relationships were considered significant with  $p < 0.05$ . The models were validated using the relationship between standardized residuals and standardized normal quantiles and, the residuals were tested for deviation from the normal distribution using the Shapiro-Wilk test. All analyzes were performed using the free software R 4.0 (R Development Core Team 2020), using the packages “lme4” and “lmerTest” (Bates et al. 2015, Kuznetsova et al. 2017).

## Results

In total, the annual average production of litter was 7.89 Mg/ha<sup>1</sup>/y-1. The annual average production of 10-year-old secondary forests differed significantly from the other age classes ( $6.58 \pm 2.28$  Mg/ha<sup>1</sup>/y-1;  $p < 0.05$ , Figure 2), showing the lowest values of annual litter production. Whereas 25-year-old secondary forests ( $7.96 \pm 1.93$  Mg/

ha<sup>1</sup>/y-1), 40-year-old ( $8.86 \pm 2.38$  Mg/ha<sup>1</sup>/y-1, Figure 2), and mature forest ( $8.31 \pm 1.02$  Mg/ha<sup>1</sup>/y-1) presented similar annual litter production. The results showed that annual litter production had a strong positive relation with forest age, with a rapid increase in annual litter production early in succession.

Annual litter production represented 20.36% (from 6,63 to 47,8%) of the total standing aboveground biomass in 10-year-old plots. These values decreased significantly with forest development ( $p < 0.05$ ), with average of 13.16% (3.92 to 37.28%) in 25-year-old plots, 7.14% (2.77 to 34,17%) in 40-year-old plots and 2,33% in mature forests (1.02 to 4.22%) (Figure 3). The results showed a negative and significant effect of age in the relation of annual litter production and total standing biomass ( $p < 0.05$ ) (Figure 3).

In general, our Generalized Linear Models showed a significant influence of vegetation structure on annual litter production (Figure 4). The basal area and stem density significantly and positively influenced annual litter production ( $p < 0.01$ ), whereas canopy opening had a negative influence on annual litter production ( $p < 0.01$ ; Figure 4). The ratio of annual litter production in relation to total standing aboveground biomass showed a significant association with all forest structure variables. Basal area and stem density significantly and negatively influenced the ratio of annual litter production and total standing aboveground biomass ( $p < 0.001$ ) while canopy opening had a positive influence ( $p < 0.001$ ; Figure 5).

## **Discussion**

Our results reflect the gradual recovery in aboveground primary productivity during tropical forest succession, which tends to stabilise at around 20 years (Ewel, 1976; Brown & Lugo, 1990). The recovery and stability in litter production between secondary and mature forests were also reported in other studies carried out in tropical forests (Barlow et al. 2007; Ostertag et al 2008). This stability of litter production early in succession (20 and 40 years old) can be effective in restoring ecosystem processes such as litter production and decomposition, which can represent one of the major pathways of nutrient cycling (Camargo et al.2015), essential for maintaining soil fertility in forest ecosystems (Li & Ye 2014, Montagnini & Jordan 2002, Tripathi et al.2006).

In young secondary forests, there is a dominance of pioneer species (Rozendaal & Chazdon 2015; van Breugel et al. 2006). These early successional species generally have the characteristics of being resource acquisitive, presenting less lignified materials with rich N constitution and low C/N ratio (Reich 2014; Hantschet al., 2014). N concentration can have a positive effect on litter mass loss (Cornwell et al. 2008; Patoine et al, 2017), because the consumption of N-rich plant material is necessary for detritivores (Eisenhauer et al, 2009; Schwarz et al, 2015). The content of nitrogen and carbon are also commonly used as predictors of decomposition rate (Cornwell et al. 2008; Eichenberg et al. 2014). Pioneer species also have low content of secondary compounds (phenolics and tannins), which can accelerate decomposition process (Parton et al, 2007). What happens in the opposite way to late successional species, with high leaf carbon content, high leaf toughness (Garnier et al. 2004, Cortez et al. 2007). Thus, litter quality is expected to change over the course of succession, with high decomposition rates in early successional forests (Garnier et al. 2004).

In our study, we found litter production as an important biomass reservoir. In recently modified forests, annual litter production represents more than 47% of the total standing biomass, demonstrating its importance as a biomass reservoir early in succession. Our data showed that in these forests (10 years old) the vegetation has a more simplified structure, such as areas with lower average DBH, lower basal area and lower density of individuals. In addition, litter has greater representation when compared to total standing aboveground biomass, as well as areas with the largest canopy opening (Figure 5). These areas have a dominance of pioneer species, which have high growth rates, but short longevity (Reich et al. 2008, Rees 2001), making their litter biomass more representative at this stage of succession. Thus, when compared to forests in more advanced stages, younger areas depend much more on litter biomass to maintain various ecosystem services, such as those related to soils, a favorable soil environment, such as maintaining the microclimate, regulating temperature and humidity of soil (Amatangelo et al. 2008, Bond-Lamberty & Thomson 2010, Sayer 2006) and ecosystem services related to climate regulation, as an important carbon reservoir (Sayer et al. 2007), since the vegetation structure is less developed.

In the final stages of the succession, we found a greater basal area and greater density of individuals, as well as greater canopy closure. Forests in the final stages of

succession are more complex ecosystems, with a better developed canopy structure (Werneck et al.2001), in addition to having greater surface area of branches and foliage (Lowman & Schowalter 2012). This more complex vegetation structure is generally associated with the majority of species that have a longer life span (Rees et al.2001), high density of wood, slow growth and thus greater survival (Chave et al.2009, Rees et al. 2001). In this way, even having a more developed structure, with a greater amount of trees, branches and leaves, which could contribute to a greater production of litter, these mature forests contribute in a similar way to secondary forests (20 and 40 years old) for the production of litter, as its species tend to retain plant parts longer than species present in younger areas (Rees et al. 2001). So, the litter contribute less to the storage of biomass, which represents less than 4% of the total biomass, as shown by our results, most of the aboveground biomass is stored in tree trunks, in resource conservative species (Reich 2014, Adler et al.2013). This relation explains that vascular plants change from rapid acquisition of resources to the conservation of resources during the succession (Jackson et al.2013, Poorter & Bongers 2006).

## **Conclusion**

### Implications for forest management and climate mitigation

High rates of litter production in secondary forests can represent major contributions to organic matter and nutrients for biogeochemical cycles. In addition to representing an improvement of chemical, physical and biological properties of the soil (León & Osorio 2014, Sánchez-Silva et al.2018). Our results highlight the fundamental role of another ecosystem service provided by litter production, as a carbon reservoir in tropical forests, especially in young secondary forests, just as it has been reported for woody debris by Yang et al (2021), who demonstrated that these woody debris store carbon and delay the release of CO<sub>2</sub> to the atmosphere after tree mortality in Amazon and African forests. In general, our results showed that litter production increases with the development of the forest structure, stabilizing at age 40 and that annual litter production represents an important biomass reservoir in the early stages of succession, reaching 47% of the aboveground biomass.

Our results demonstrated the importance of conserving secondary forests, mainly by the storage of carbon in litter maintaining some ecosystem services. These services can contribute to the regeneration of modified environments, such as the maintenance of soil microclimate and nutrient cycling that are essential for areas in the initial stage of succession (Guendehou et al.2014). This highlights the importance of understanding how litter affects the carbon balance of tropical ecosystems. The flows of these carbon storage components should be considered in future work, further improving our forecasts of regional carbon dynamics in future climate scenarios. The results should also be used in conservation strategies with the quantification of litter as a place for carbon storage, contributing as an ecosystem service and mitigating climate change and REDD +.

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### **Competing interests**

The authors declare none.

### **Ethical statement**

None

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**Table 1.** Structural features of vegetation present at sites of different ages along the succession, at the ages of 10, 25 and 40 years and mature forests, in the Serra do Conduru State Park, Bahia, Brazil. Mean ± SD.

**Figure 1.** Location map of the Serra do Conduru State Park, with location of the study plots, Bahia, Brazil.

**Figure 2.** Annual litter biomass production in  $\text{Mg/ha}^1/\text{y}$ -1 along succession, at the ages of 10, 25 and 40 years and mature forests, in the Serra do Conduru State Park, Bahia, Brazil.

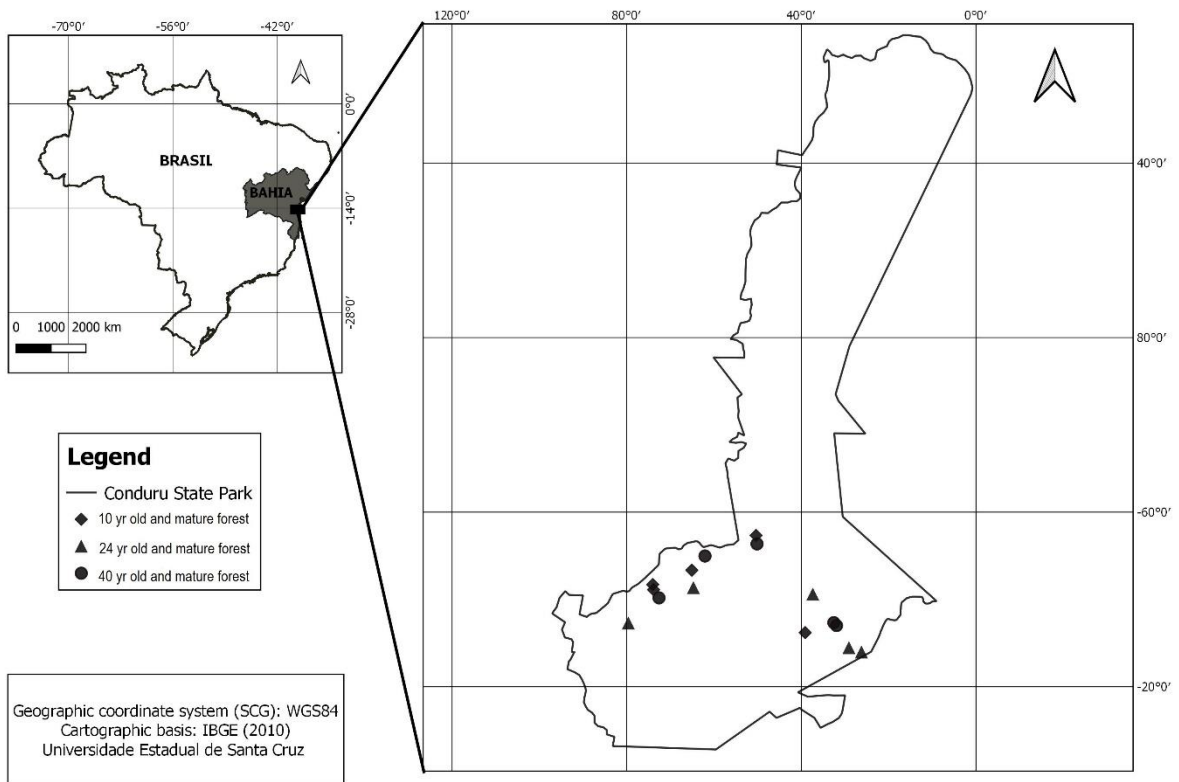
**Figure 3.** Relation between annual litter production and the total aboveground biomass at the ages of 10, 25, 40 years and mature forest, in the Serra do Conduru State Park, Bahia, Brazil.

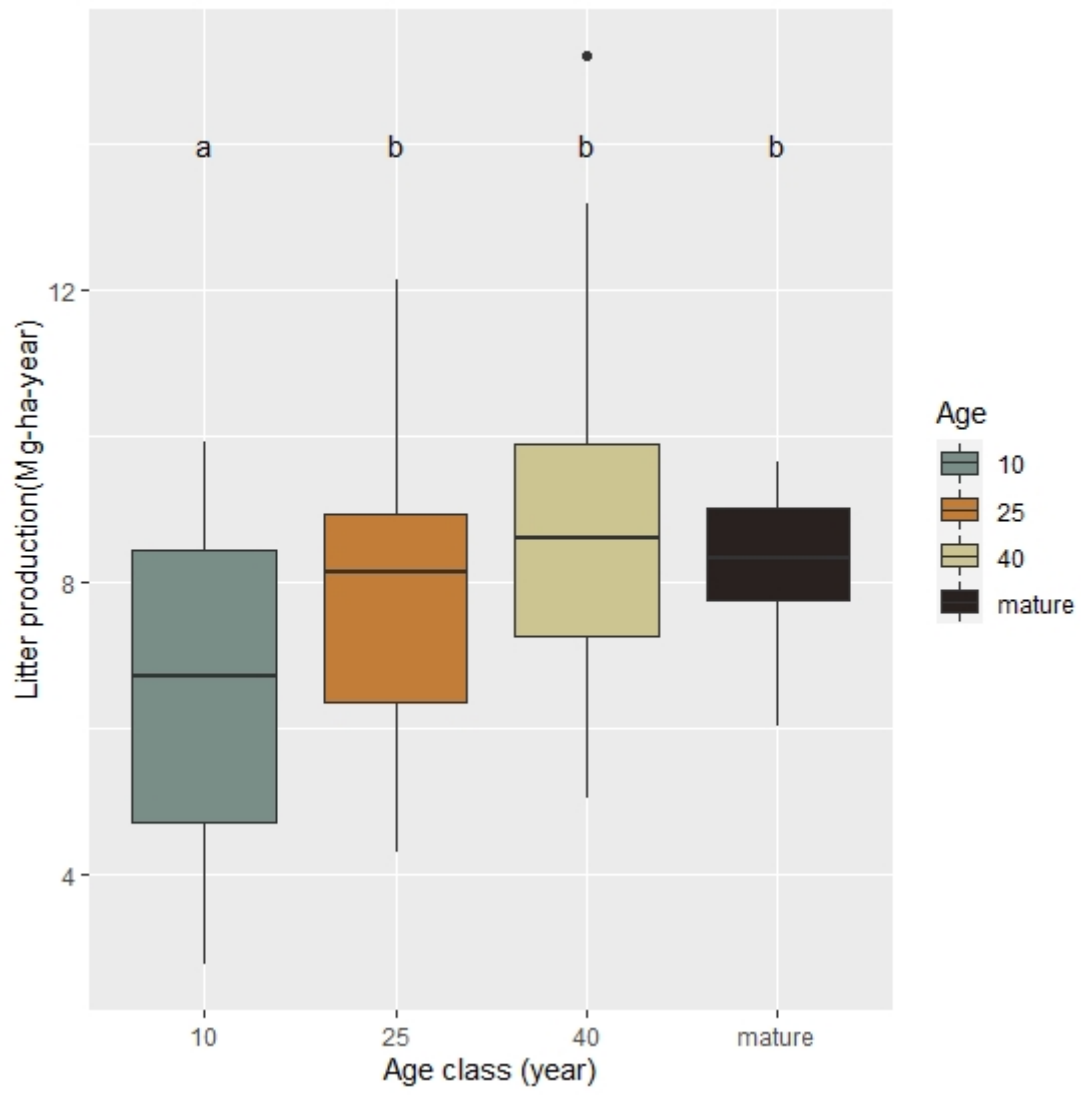
**Figure 4.** Relations between annual litter production and structural variables: (a) stems (number/ha), (b) basal area ( $\text{m}^2/\text{ha}$ ) and (c) percentage of canopy opening.

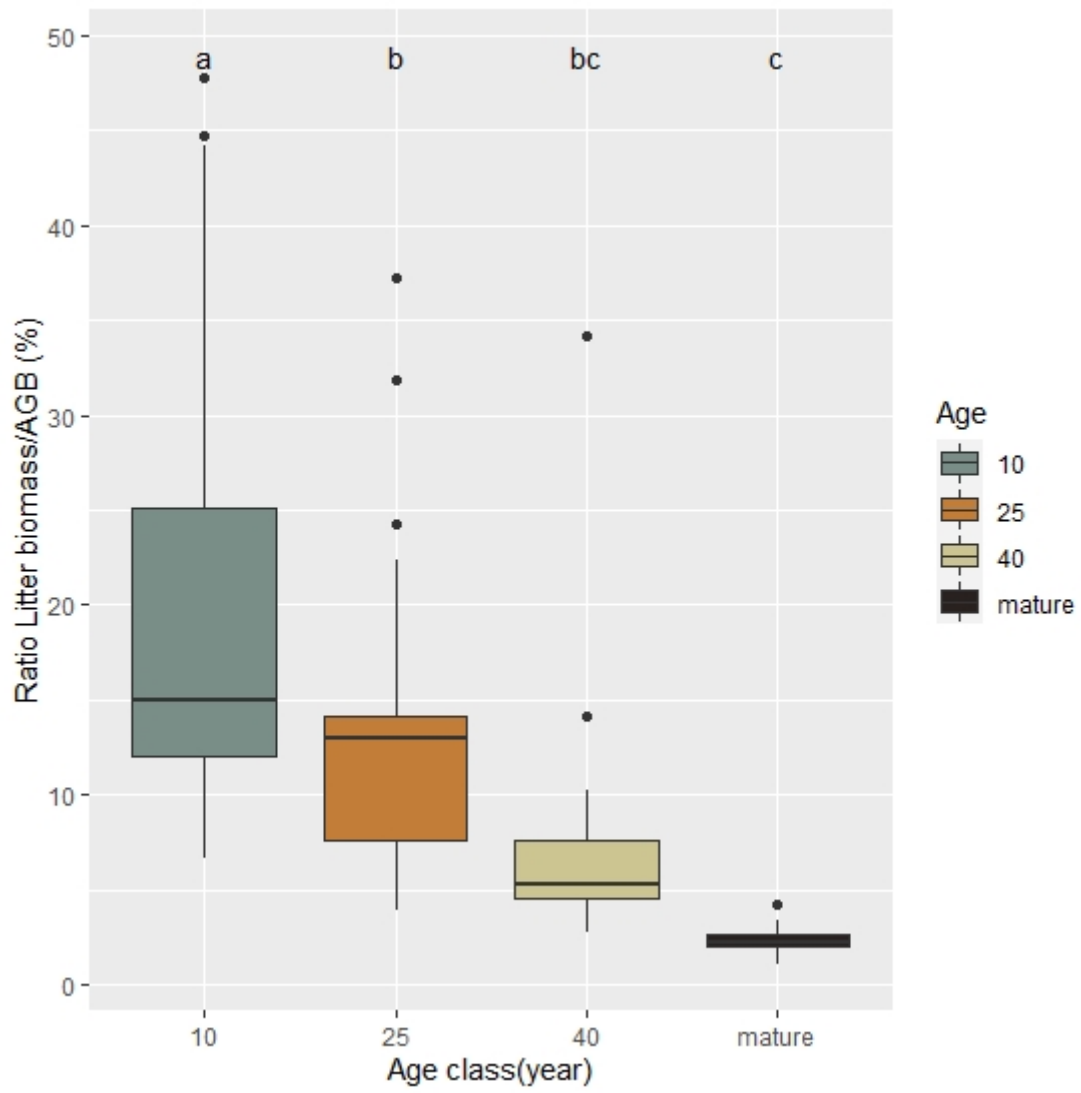
**Figure 5.** Relations between the ratio of annual litter production and total standing aboveground biomass and structural variables: (a) stems (number/ha), (b) basal area ( $\text{m}^2/\text{ha}$ ) and (c) percentage of canopy open.

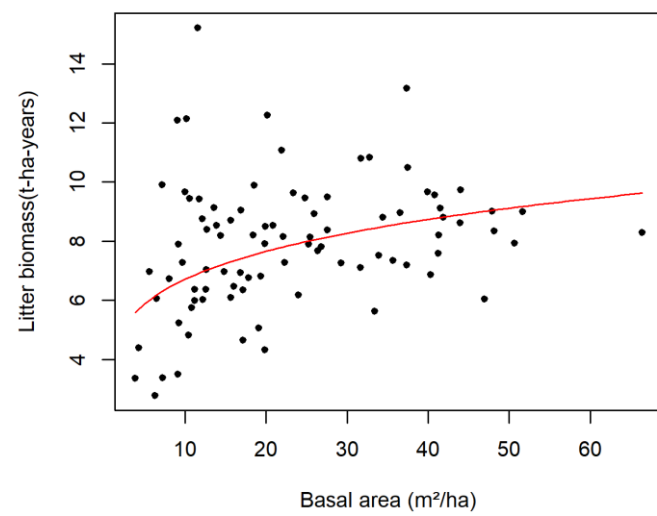
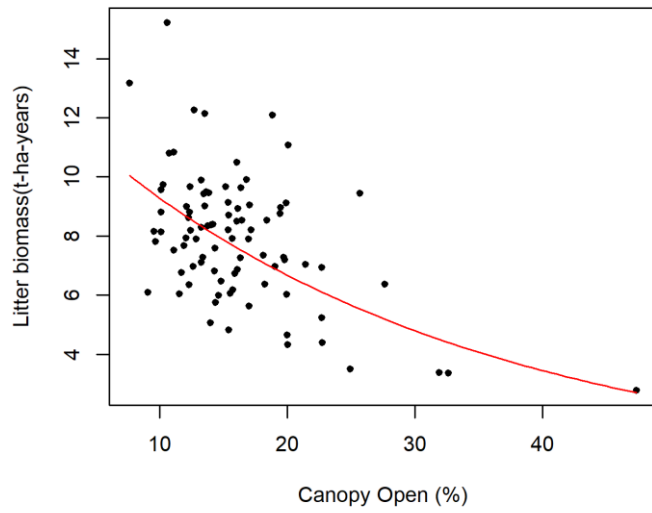
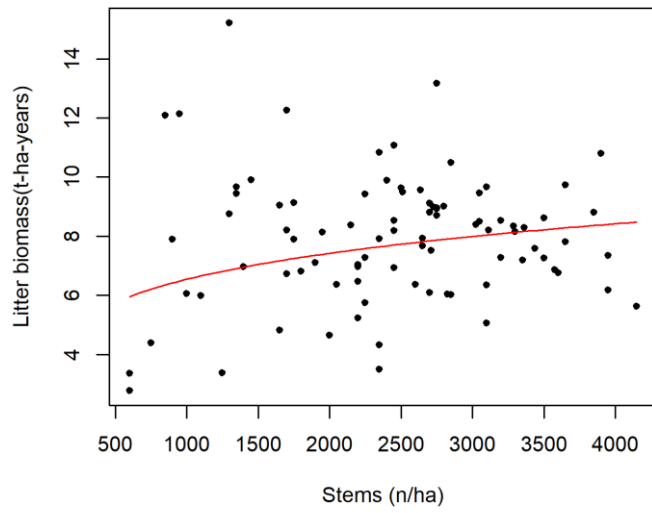


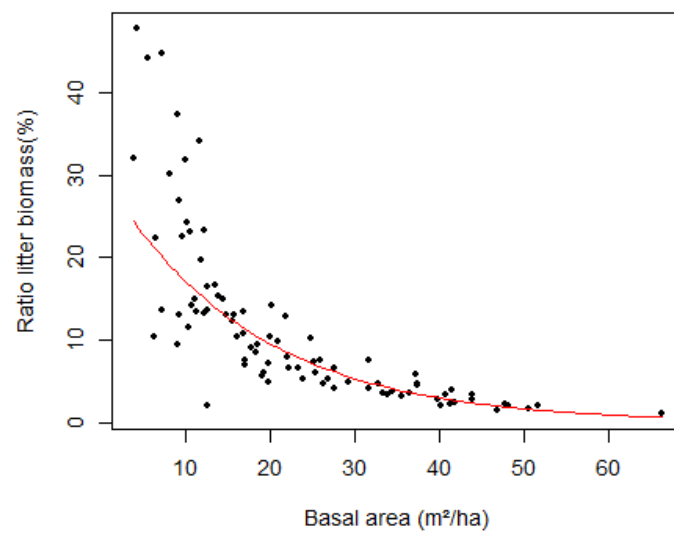
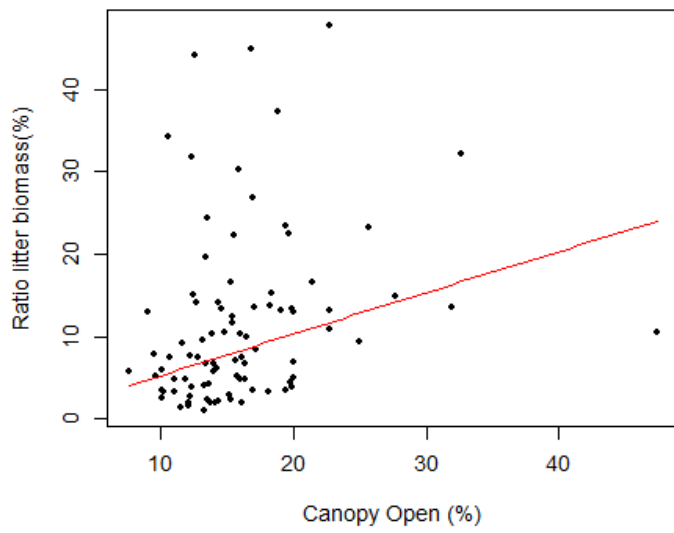
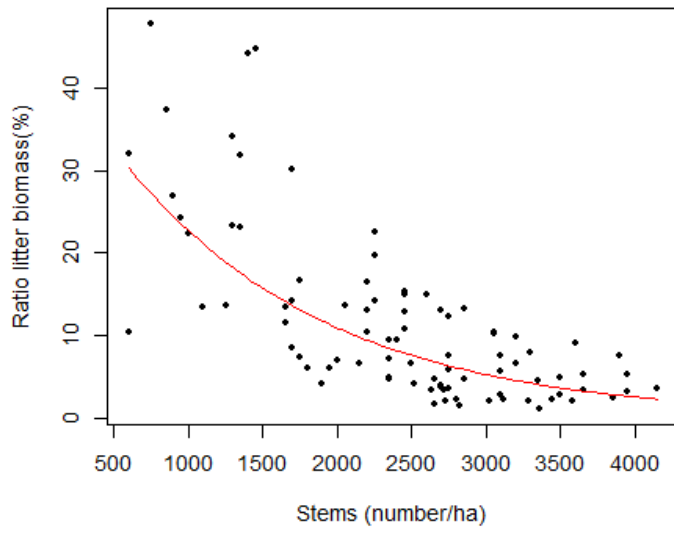
Parameters	Ages along succession			
	10 -years-old	25 -years-old	40 -years-old	Mature Forest
Aboveground biomass (Mg/ha)	41.13 ±22.55	80.77 ± 48.75	159.67± 70.93	391.65 ± 138.7
Basal area (m <sup>2</sup> /ha)	10.91 ± 4.52	18.15 ± 8.14	28.32 ± 8.76	42.1 ± 11.96
Density (number/ha)	1810 ± 728.16	2096 ± 681.29	2990 ± 755.53	3040 ± 394.36
Canopy openness (%)	20.28 ± 8.6	16.57 ± 3.25	13.61 ± 3.21	13 ± 1.86











## **CAPITULO III**

## **Aboveground biomass variation in working landscapes of southern Bahia, Brazil**

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## **Abstract**

Native tropical forests have been increasingly modified in different land uses. Many of these lands have regrown into secondary forests and agroforestry systems. In this study, we investigate how different forest covers in a working landscape contribute to aboveground biomass in the southern region of Bahia, Brazil. We used Generalized Linear Models (GLM) to compare aboveground biomass in different forest covers, as follows: secondary forests of 10, 25 and 40 years old, mature forests and two different agroforestry systems (AFS): ‘cabruca’ and ‘conventional’. Our results showed that mature forests store the highest amount of biomass compared to other forest cover, followed by advanced stage secondary forests (40 years-old), AFS cabruca and AFS conventional. The lowest biomass was found in early-stage secondary forests (10 and 25 years-old). We emphasize here the importance of mature forests for carbon storage, in addition to maintaining various ecosystem services. Furthermore, we stress the importance of working landscapes that include agroforestry systems and secondary forests, as they provide timber and non-timber products, reduce the pressure on native forest resources, and contribute to the provision of ecosystem services such as carbon storage.

*Keywords:* carbon, tropical forest, secondary forests, agroforestry system, cabruca

*Statements and Declarations*

## **Acknowledgements**

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## **Introduction**

Tropical forests are of high importance to biodiversity (Reilly and Spies 2015; Barlow et al. 2018) and for carbon storage (Pan et al. 2011). In these forests, about 80% of the carbon is stored above ground (Pan et al. 2013). However, the conversion of tropical forests into agricultural land and other types of land uses is one of the main causes of biodiversity loss and reduction of ecosystem services provided by forests (Geist and Lambin 2002). Today, about 80% of tropical forests are considered to have some degree of modification, or impacted by management practices in agriculture, forestry and other human activities (Potapov et al. 2017), including degraded primary forests and regenerating secondary forests (Chazdon et al. 2009a).

These modified, secondary tropical forests are expanding in many deforested areas of the Neotropics (Chazdon 2014) and currently account for about half of the world's tropical forests (FAO 2020). The natural regeneration in these secondary forests is considered an effective mechanism for carbon sequestration (Houghton et al. 2015; Canadell and Raupach 2008). For this reason, these forests represent an important component of biodiversity, and the accumulation of biomass in them represents a sink for anthropogenic carbon emissions (Silver et al. 2000; Feldpausch et al. 2005; Chazdon, 2014; 2016; Matos et al. 2020). Despite the differences between secondary and mature forests in terms of structure and floristic composition (Chazdon et al 2009b), it has been shown that they can provide important ecosystem services, such as refuges for biodiversity in a fragmented landscape. (Chazdon 2003), sources of timber and non-timber forest products (Britto et al. 2019; Fantini et al. 2019) and carbon sequestration (Grace 2004; Feldpausch et al. 2005).

Among the different types of forest cover, agroforestry systems (AFS) are becoming more relevant, especially with the recognition of their multiple uses for rural development and ecosystem services (Montagnini 2017). AFS can favor associated crops, providing shade, thermal comfort, nutrient cycling and other services aimed at the productivity of agricultural crops (Ashton and Montagnini 2000). In addition, to traditional AFSs, cocoa agroforests represent a more complex type of AFS, which

combine cocoa planting (*Theobroma cacao* L.) shading of forests after understory removal, along with a great diversity of native and planted trees (Rice and Greenberg 2000). In this way, AFS can contribute, for example, to the connectivity of fragmented landscapes (Jose and Gordon 2008; Nair and Garrity 2012), for the conservation of biodiversity, and adaptation to climate change through the fixation of atmospheric carbon (FAO 2012; Montagnini 2015), and a viable alternative for Programs REDD + (Reduction of Emissions from Deforestation and Degradation) (Van Noordwijk et al. 2015).

Working on landscape conservation becomes essential in the mosaic of existing landscapes around the world, which takes into account the importance of managing agricultural lands to complement the conservation of species, maintaining biodiversity, providing ecosystem services in addition to contributing to the increase of production of food, fuel and forest products (Kremer and Merenlender 2018). A study of working landscapes carried out in Costa Rica, in the San-Juan-La Selva Biological Corridor, found that deforestation was contained and increased incentives for forest regeneration (Morse et al. 2009). In another study carried out in southern Brazil with silvopastoral grazing, the results showed that small producers doubled food production and income without increasing the planted area and without affecting protected areas (Alves et al. 2012). The southern region of Bahia has an extensive mosaic of primary and secondary forests (Project MapBiomias 2021), and extensive areas of shaded cocoa (cabruças), with approximately 430,051 ha of cocoa planted (IBGE/SIDRA 2019). This landscape represents an important working landscape in Brazil, as these areas of AFS are important carbon reservoirs and provide products, ecosystem services, and better livelihoods, especially for poor people (Schroth et al. 2015).

In the light of a rapidly increase in deforestation and land use change in tropical regions in the past decades and their effect on global climate change (Geist and Lambin 2002), several initiatives have been launched to promote forest restoration and the integration of production and conservation within the same landscapes (working landscapes) (UN Restoration Decade/2021; ITTO 2020). In southern Bahia, conversion of natural forests and high-diverse AFS to other land uses such as pastures and agriculture has increased in the past decade (Project MapBiomias 2021). In this regard, understand the potential of different forest production systems and areas of natural forests to sequester and store carbon is pivotal to the management these landscapes for

conservation purposes (Vandermeer and Perfecto 2007), as well as to develop mechanisms of payment for environmental services to reduce deforestation trends in the region. Here we aimed to quantify the contribution of different forest cover, including secondary forests in different stages of succession (10, 25 e 40 years old), AFS conventional, AFS cabruca and mature forests, for aboveground biomass stocks in the southern region of Bahia, Brazil. We also compared the number of individuals between the different types of forest cover, and how the aboveground biomass and the number of individuals differ within DBH size classes in order to highlight the role of this working landscape in mitigating climate change.

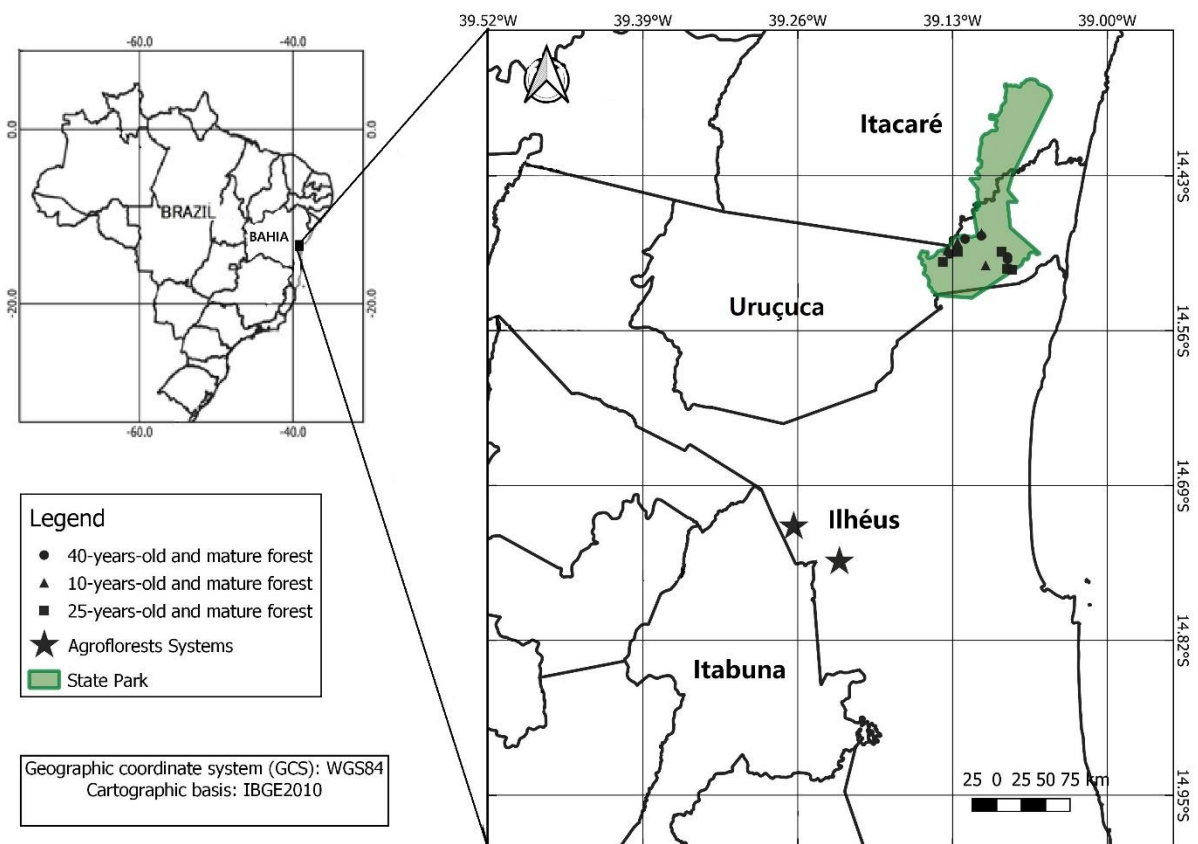
## **Material and methods**

### *Study location*

The present study was carried out in different forest covers located in southern Bahia, Brazil. This region has one of the highest values of plant species richness per unit of land area and a high degree of endemism of plants globally (Thomas et al. 1998; Amorim et al. 2005; Martini et al. 2007) and animals (Tabarelli et al. 2010). The vegetation is of the dense ombrophilous type, in the Atlantic Forest biome (Thomas 2003), with monthly average temperature of 24°C and average annual rainfall of 2,000 mm, evenly distributed throughout the year (Alvares et al. 2013).

Data collection was carried out in the following types of forest cover: a) secondary forests of 10, 25 and 40 years, located within a protected area (14° 30'16" S e 39° 6'36" W; Figure 1) in the Parque Estadual da Serra do Conduru – PESC, in the city of Uruçuca. The protected area has an area of 10,000 ha and is composed of a forest mosaic in different stages of regeneration, with secondary forests at different ages, some areas in the beginning of regeneration to well preserved areas. (Piotto et al. 2009); b) agroforestry systems were inventoried, being one AFS of the type “cabruca” and the other AFS, with planted tree species, in the city of Ilhéus. The evaluated AFS is located in an experimental area of the Centro de Pesquisas do Cacau (CEPEC) (14° 45' S e 39° 13' W; Figure 1). The AFS was planted in 2005, where three timber forest species used were *Cordia alliodora* (claraíba), *Tectona grandis* (teca), and *Swietenia macrophylla* (mogno-brasileiro). The three species are known for their high timber value and great silvicultural potential (Ugalde 2013, Piotto et al. 2020). The AFS cabruca is an area

where the cacao tree was planted under the shade of native tree species present in the original natural forest.



**Fig. 1** Location map with location of the study plots, Bahia, Brazil

### *Data collect*

For this study, we used data from inventories carried out in naturally regenerated secondary forests with 10, 25 and 40 years old mature forests and two types of agroforestry systems. In order to obtain data on forest structure in secondary forests, 15 plots of 10×100 (1,000m<sup>2</sup>) in five plots for each of the three age classes (10, 25- and 40-year-old) respectively. For mature forests, 15 plots of 20×30 (600m<sup>2</sup>). In the AFS conventional areas, three areas with 0.5ha each were evaluated and the AFS cabruca were evaluated four areas with different sizes 4,7, 4,2, 2,8 e 2,6 ha.

Within the plots, all trees with diameter at breast height were measured (DBH) equal to or above 5 cm, the total height (m), and identified at the species level, and a collection of botanical material was collected for later identification in the Herbarium André Maurício Vieira de Carvalho, Centro de Pesquisas do Cacau (CEPEC). We

estimated aboveground biomass using an allometric equation for tropical forests (Chave et al. 2014):

$$\text{AGB} = 0.0673 (\rho D^2 H)^{0.976}$$

based on diameter  $D$  (cm), height  $H$  (m) and wood density  $\rho$  ( $\text{g}/\text{cm}^3$ ). The AGB was estimated in kilograms. Plot biomass represents the estimated biomass of all trees in a plot, which was then extrapolated to estimate aboveground biomass (AGB) in Mg per ha. Additionally, we calculated for the forest structure, the tree density (individuals/ha) and basal area ( $\text{m}^2/\text{ha}$ ). And we evaluated the differences in forest cover by grouping individuals into three classes DBH:  $5 < 15$  cm,  $15 < 30$  cm e  $\geq 30$  cm.

### *Statistical analysis*

We fit different models to assess the effect of forest cover type on aboveground biomass and basal area. As the forest structure data was considered non-normal, we used Generalized Linear Models (GLM). For each response variable (aboveground biomass and basal area) we fit four models for the explanatory variable, type forest cover (secondary forests 10, 25 and 40 years old, mature forest, two types of agroforestry systems): 1) a model with aboveground biomass as the response variable in relation to an explanatory variable, 2) a model with aboveground biomass in relation to the log of the explanatory variable, 3) model with a log of aboveground biomass and log of the explanatory variable, and finally 4) a model with log aboveground biomass in relation to an explanatory variable. The same was done with the other response variable (basal area). We considered the best model when its AICc was at least two units lower than the subsequent best model. The relationships were considered significant with  $p < 0.05$ . The models were validated using the relationship between standardized residuals and standardized normal quantiles and, the residuals were tested for deviation from the normal distribution using the Shapiro-Wilk test. To evaluate the relationship between the different DBH size classes, in relation to individual density and aboveground biomass within the classes, we fitted a linear mixed model (LMM), including the location as a random factor. We use the LMM approach to control temporal pseudo-replication (Zuur et al. 2009). All analyzes were performed using the free software R 4.0 (R Development Core Team 2020), using the packages “lme4” and “lmerTest” (Bates et al. 2015, Kuznetsova et al. 2017).

## Results

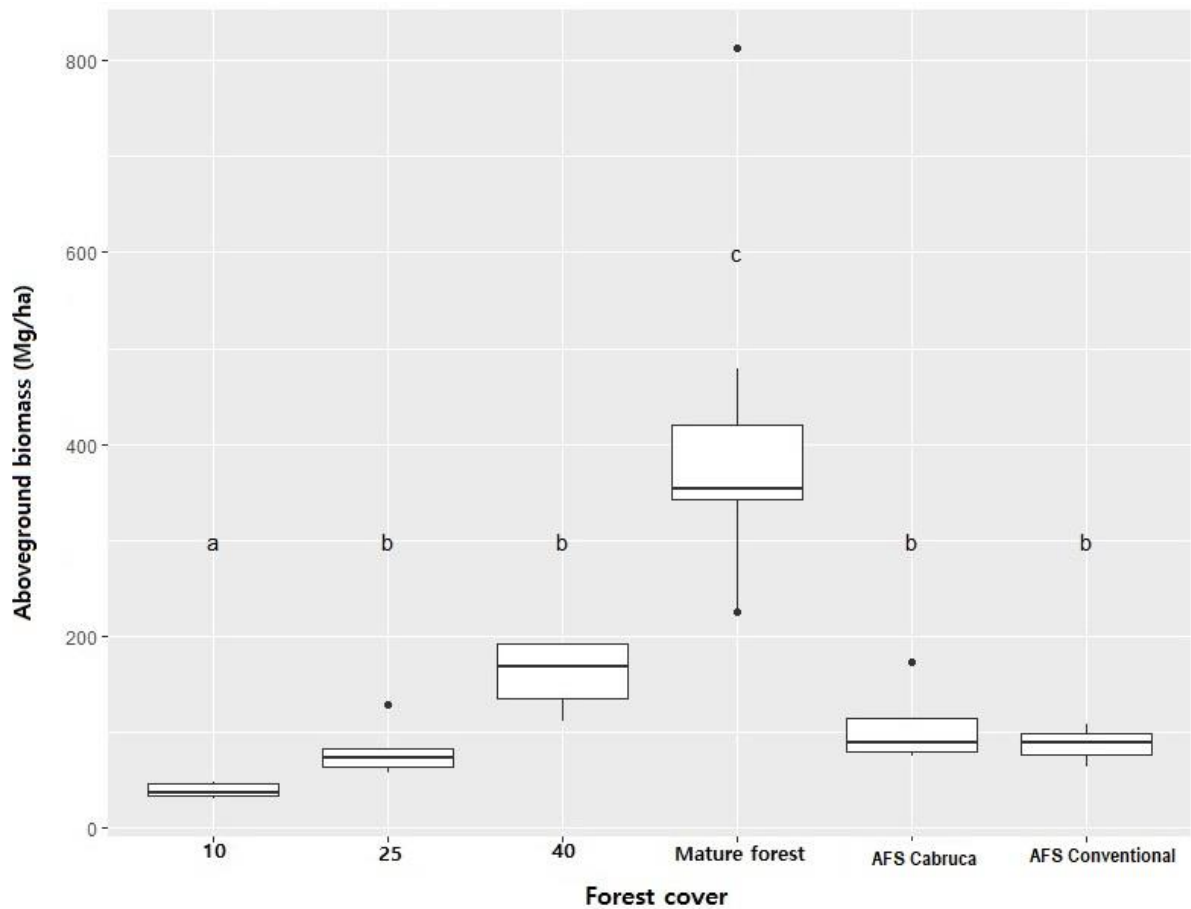
Aboveground biomass ranged from 38.8 a 391.75 Mg/ha among forest covers. Aboveground biomass increased in this order: 10-year secondary forests (38.80 Mg/ha), 25-year secondary forests (80.76 Mg/ha), AFS conventional (86.34 Mg/ha), AFS cabruca (106.07 Mg/ha), 40-year secondary forests (159.67 Mg/ha), and mature forests, with the highest accumulated biomass (391.65 Mg/ha), significantly differing from other forest covers ( $p < 0.001$ ).

Table 1. Means and standard deviation of density of individuals, aboveground biomass, and basal area of secondary forests (10, 25 and 40 years-old), agroforestry systems (AFS) and mature forest in southern Bahia, Brazil. Means that share the same letter are not different at the  $P < 0.001$  level.

Forest Cover	Density of individuals (number/ha)	Aboveground biomass (Mg/ha)	Basal area (m <sup>2</sup> /ha)
Secondary forest 10-years-old (n=5)	2092 ± 550 <b>a</b>	38.80 ± 7.95 <b>a</b>	11.71 ± 1.91 <b>a</b>
Secondary 25-years-old (n=5)	2402 ± 692 <b>ab</b>	80.76 ± 28.36 <b>b</b>	20.26 ± 4.78 <b>ab</b>
Secondary 40-years-old (n=5)	3180 ± 503 <b>bd</b>	159.67 ± 35.57 <b>b</b>	30.05 ± 4.12 <b>bc</b>
AFS Cabruca (n=4)	129 ± 118 <b>c</b>	106.07 ± 44.91 <b>b</b>	12.52 ± 2.16 <b>c</b>
AFS conventional (n=3)	74 ± 13 <b>c</b>	86.34 ± 22.2 <b>b</b>	8.74 ± 1.85 <b>a</b>
Mature Forest (n=15)	3372 ± 553 <b>d</b>	391.65 ± 138.7 <b>c</b>	53.92 ± 12.43 <b>a</b>

The density of trees varied among forest covers from 74 to 3,372 ind./ha, with an average of 2,424 ind/ha ( $\pm 1323$  ind/ha). The density of trees was statistically higher in mature forests compared to other forest covers, and lower in AFS and AFS cabruca, statistically smaller than that of other forest covers. The basal area ranged from 11.71 to 53.92 m<sup>2</sup>/ha, with higher values in mature forests, followed by 40-year secondary forests, which presented higher basal area values when compared to 25-year secondary forests, but not differing significantly from each other. The AFS conventional had the

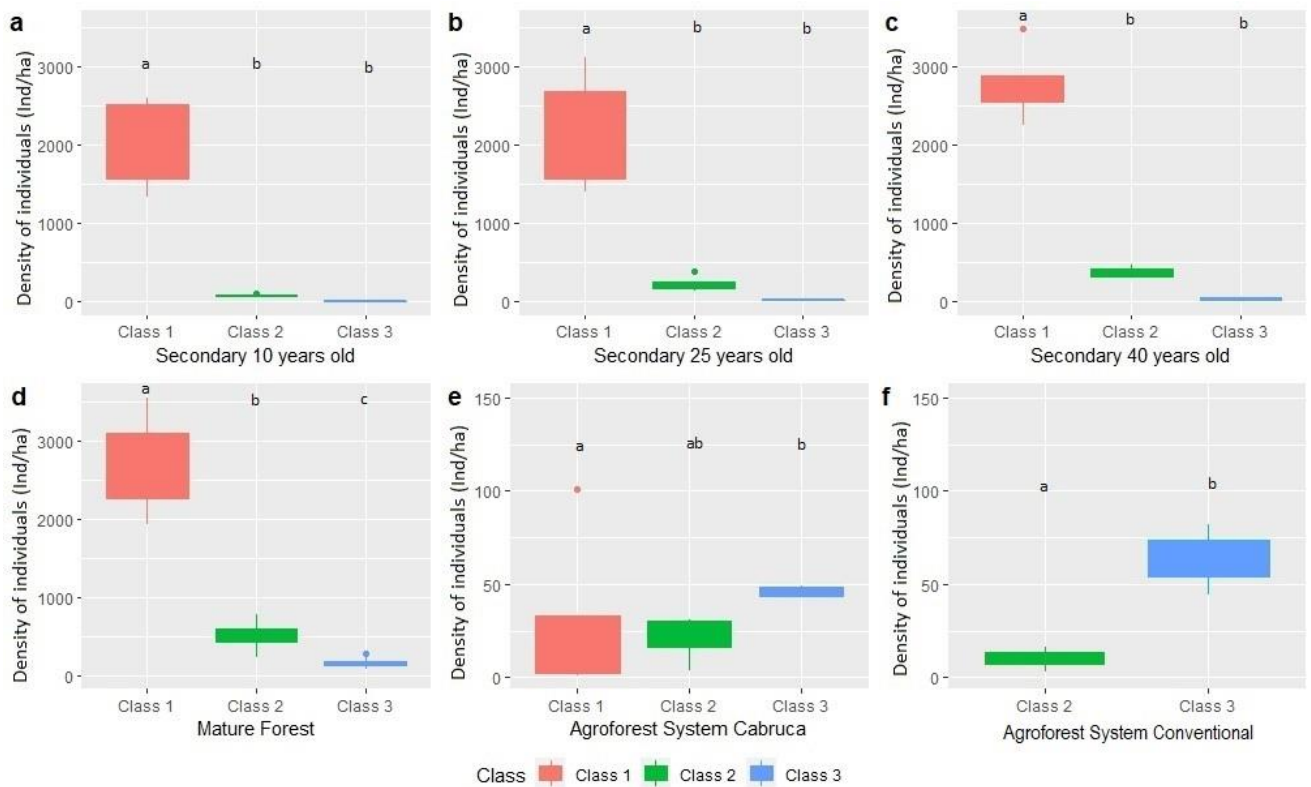
smallest basal area, which was statistically similar to 10-year secondary forests and AFS cabruca.



**Fig. 2** Aboveground biomass in Mg/ha of secondary forests (10, 25 and 40 years-old), agroforestry systems (AFS) and mature forest in southern Bahia, Brazil.

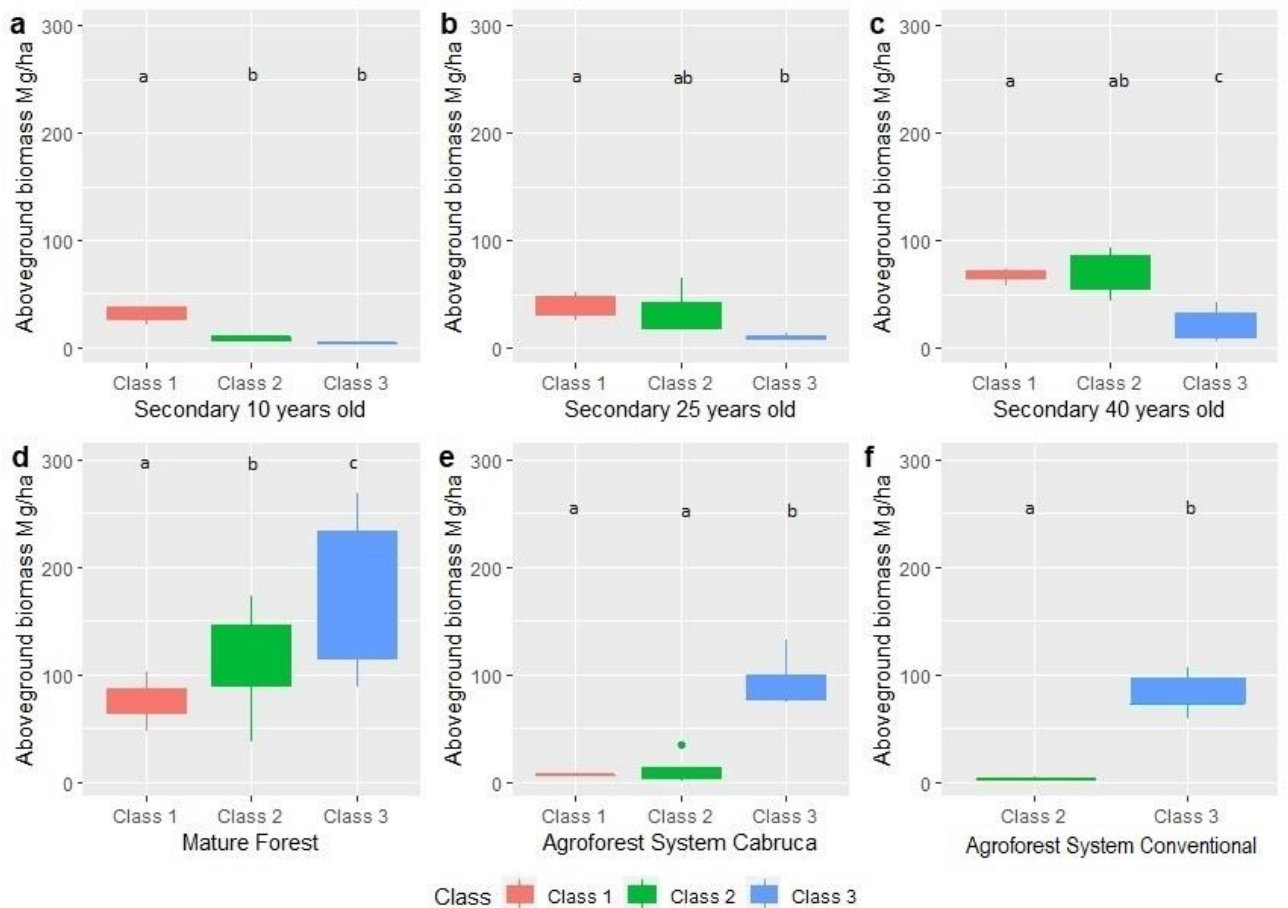
Tree density differed between the types of forest cover for the DBH classes. In the 10-year-old secondary forests, there was a predominance of small trees (DBH  $5 < 15$  cm). These small DBH trees were more abundant in secondary forests (Fig. 3a, b e c), while they were less common in mature forests and in AFS cabruca and not present in AFS areas. Medium-sized (DBH  $15 < 30$  cm) and large trees (DBH  $\geq 30$  cm) appear more densely in the 40-year-old secondary forests, and in the AFS areas (Figure 3d, e, f), which was statistically higher from the others.





**Fig. 3** Density of individuals (individuals per hectare) in the following classes of DBH: Class 1: 5<15 cm; Class 2: 15<30 cm and Class 3: ≥30 cm, in different forest covers located in southern Bahia, Brazil.

Aboveground biomass differed statistically within DBH size classes and forest cover types. In secondary forests (10 and 25 years old), there was a greater amount of biomass in the class of small trees (5<15 cm) (Figure 4 a and b). In the 40-year-old forests, the biomass was concentrated in the intermediate DBH classes (15<30 cm) (Figure 4c). The small trees (5<15 cm) became less significant with the advancement of the forest succession and not present in the AFS areas (Figure 4e). The trees with the largest DBH (≥ 30 cm) represented the highest amount of biomass in mature forests, AFS cabucas and AFS conventional (Figure 4d, e and f).



**Fig. 4** Aboveground biomass in the following classes of DBH: Class 1: 5<15 cm; Class 2: 15<30 cm and Class 3: >=30 cm, in different forest covers located in southern Bahia, Brazil.

## Discussion

In our study, we found the highest aboveground biomass accumulation in mature forests compared to the other studied forest covers, as reported in other studies comparing aboveground biomass between natural forests and agroforestry systems (Soazafy et al. 2021). In a study carried out in Malaysia, aboveground biomass of agroforestry systems was compared with mature forests, and they found similar results, with greater biomass stored in mature forests in relation to forest fragments, vanilla agroforests, forest-derived agroforests and woody fallows, respectively (Soazafy et al. 2021). In Ghana, a study evaluating carbon stored in forests in relation to cocoa-dominated agroforest and mixed food crops agroforest, demonstrated the highest carbon storage in natural forests, followed by agroforests and with lower carbon storage in

mixed food crops agroforest (Asase and Tetteh 2016). Thus, these results reinforce the importance of conserving mature forests as an important reservoir of biomass (Pan et al. 2011), and that these services cannot be provided in the same way by other forms of forest cover. In addition, our results emphasize the importance of conserving mature forests in the region, mainly for sheltering great species richness and endemism (Thomas et al. 1998; Martini et al. 2007). However, the last remnants of mature forests may not be enough to sustain viable populations of several species, and so other forest covers become important for the maintenance of several services in working landscapes (Garibaldi et al. 2020).

Studies of secondary forests have become increasingly essential, given that these forests represent a high percentage of forests worldwide (FAO 2020). Here, we found that these forests presented high values of accumulated biomass, mainly forests in an advanced stage of succession (>40 years). Biomass recovers quite rapidly at mature forest levels in a short period of time, which has been reported in other studies (Feldpausch et al 2005; Letcher and Chazdon 2009; Poorter et al. 2016) and stagnates with higher biomass in mature forests (Peña-Claros 2003; Gehring et al. 2005). Secondary forests play a very important role in working landscapes, as they sequester carbon dioxide and are home for several native tree species. In addition, secondary forests with proper forest management can be very productive in both ecological and economic terms (Schwartz et al. 2015), providing timber and non-timber forest products (Zambiasi et al. 2021; Finegan 1992, Chazdon and Coe 1999), as well as several ecosystem services, such as carbon storage (Silver et al. 2000; Zarin et al. 2001).

Our results showed that AFS cabruca have high values of stored biomass, with values similar to secondary forests in an advanced stage of succession. These ecosystems conserve some of the native tree vegetation, mainly by keeping large-sized native tree species to shade cocoa plantations. These large-sized trees concentrate most of the biomass (Schroth et al. 2015; 2016; Osen et al. 2021). AFS cabruca also sustain and maintain the longevity of several endemic tree species in these working landscapes, as they are seed sources for in situ natural regeneration and for restoration projects in the region (Martin et al. 2021). As AFS cabruca exhibit a great diversity of native tree species, these ecosystems help to connect natural forest fragments in the region, as they somehow resemble natural forests in southern Bahia (Schroth et al. 2004). Finally, AFS cabruca, in addition of having a good performance in carbon storage, produce a great

diversity of food, which contributes to meeting the needs of farmers and the cocoa plantation itself, with the management of soil nutrients (Tondoh et al. 2015; Asigbaase et al. 2019) and increased benefits of co-products such as wood and fruits (Tschardt et al. 2011; Asigbaase et al. 2019).

In this study, the AFS conventional areas showed lower values of aboveground biomass accumulation when compared to natural, late secondary and AFS cabruca. However, other types of AFS have showed great potential to store carbon. For example, in a work carried out in the Himalayas, comparing different areas of AFSs, the silvopastoral system stored a greater amount of biomass than the others, with woody perennial trees contributed with 95.92 and 94.85% in aboveground and total biomass, respectively. Thus, the use of a mix of tree species with timber potential in AFS plays an important role in maintaining higher productivity and more sustainable landscapes (Piotto 2008; Liang et al. 2016). Therefore, use of AFS can greatly contribute to regional and global timber markets, increasingly needed to reduce the pressure for timber from native forests (Paquette and Messier 2010).

In the current context of great pressure on native forests and the growing need for food and wood products, working landscapes with different types of forest cover performing different functions is fundamental and, therefore, should be considered as potential carbon sinks (Chazdon et al. 2009a; Pagiola et al. 2007). Brazil has high potential to implement working landscapes through the use of AFS in several regions, as AFS have been recognized an important tool towards large-scale forest restoration in tropical regions, in addition to bring several other economic and social benefits (Shennan-Farþón et al. 2021). Even though Brazil, among Latin American countries, has several landscape initiatives of multi-objective management such as in the southern region of Bahia, there is still a lack of policies to enhance effectiveness and scalability of these working landscapes (Estrada-Carmona et al. 2014).

Assessing the capacity of working landscapes becomes increasingly essential, because of the rapid conversion from natural to anthropogenic areas around the world. From this study we showed the potential of different forest covers to store carbon, in addition to highlighting how these covers are important for the conservation of biodiversity, provide ecosystem services and increase production of food and forest products (Kremer and Merenlender 2018). In addition, forest restoration through AFS and secondary forests has the potential for rural subsistence and to meet the demands of

timber products (Lin et al. 2008, Zambiasi et al 2021). As shown in the present study, native forests have the greatest capacity to store carbon and biodiversity, while AFS can supply food and wood products, in addition to providing ecosystem services. The region of southern Bahia, where this study was conducted, represents a great example of a working landscape with a wide variety of types of forest cover, with productive AFS and native forests with high diversity, both types of cover with high potential to provide ecosystem services. The maintenance of these working landscapes through conservation policies can ensure great reservoirs of carbon and biodiversity while providing wealth for local communities.

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## **CAPITULO IV**

## **Fatores que afetam a biomassa acima do solo em florestas tropicais secundárias**

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## **Resumo**

As florestas tropicais secundárias são cada vez mais importantes de serem estudadas, visto que cada vez mais florestas maduras são convertidas em outros tipos de paisagens. Aqui nos testamos como diferentes variáveis ambientais influenciam a biomassa acima do solo (BAS) em florestas tropicais secundárias. Realizamos coletas de dados em campo localizadas no Parque Estadual da Serra do Conduru, Bahia, Brasil, utilizando 30 parcelas de 50x50 m (0.25ha), dentro destas parcelas medimos todas as árvores com DAP>5, coletamos material botânico para identificação das espécies, coletamos amostras compostas de solo para avaliação química e granulométrica, e amostras para avaliação de densidade do solo, realizamos caracterizações do ambiente, e perguntas para moradores locais para avaliar o histórico do uso do solo. Utilizamos modelos lineares generalizados, para avaliar como as variáveis riqueza de espécies, densidade de indivíduos, variáveis químicas e físicas do solo, histórico do uso do solo e declividade afetariam a biomassa. Encontramos 9.562 indivíduos pertencentes a 410 espécies em 56 famílias. A biomassa variou de 6,62 a 481,95 t/ha, e foi influenciada principalmente pela riqueza de espécies, histórico do uso de solos, pH e Potássio (K). Aqui ressaltamos a importância de compreender como quais fatores ambientais mais influenciam a biomassa acima do solo em florestas tropicais secundárias.

**Palavras-chave:** biomassa, biodiversidade, florestas tropicais, solos.

## **Introdução**

As florestas tropicais são ecossistemas terrestres que desempenham importantes funções para a manutenção e prestação de serviços ecossistêmicos (Myers 1990; Reilly e Spies 2015). As regiões tropicais abrigam a maior biodiversidade da terra (Barlow et al. 2018), particularmente ricas em espécies de árvores (Sullivan et al. 2017). Dessa forma, funcionam na regulação do ciclo global do carbono e do clima (Pan et al., 2011; Ahmad et al. 2014; Coulston et al. 2015). Porém, as florestas tropicais maduras estão sendo reduzidas drasticamente por diversos distúrbios antrópicos, e estão sendo convertidas em outros tipos de uso do solo. As florestas em regeneração apresentam altas taxas de acúmulo de biomassa, principalmente nas fases iniciais de sucessão, e por isso essas florestas estão recebendo mais atenção (Chazdon 2014), possuindo grande importância para mitigação das mudanças climáticas (Bastin et al., 2019, Lewis et al., 2019).

As florestas tropicais secundárias são altamente produtivas, podendo recuperar grande parte da biomassa acima do solo (BAS) nos primeiros anos de regeneração. Um estudo realizado na região Neotropical demonstrou que até 90% da biomassa foi recuperado aos 66 anos após a degradação (Poorter et al. 2016), e outro estudo realizado na Costa Rica demonstrou que a biomassa se recupera aos níveis da floresta primária aos 40 anos após o abandono (Letcher and Chazdon 2007). Porém muitos estudos já demonstraram que há uma enorme variação na recuperação da BAS (Becknell & Powers 2014, Rozendaal & Chazdon 2015, Poorter et al. 2016), e que pode ser devido a natureza estocástica da sucessão florestal (Norden et al. 2015).

A variação da biomassa pode responder a diferentes fatores ambientais, podendo variar como resultados de perturbações naturais (Houghton, 2005). A variação nas condições do solo já foi relatada como uma das principais variáveis que afetam a produtividade florestal (*eg.*, Davidson et al. 2004 Becknell & Powers 2014, Quesada et al. 2012), estudos demonstraram que cerca de 30% da variação da biomassa é explicada por fatores do solo (Laurance et al.,1999). As características químicas do solo, como por exemplo pH, podem alterar a capacidade das plantas de absorver nutrientes, e essa redução na oferta de nutrientes minerais no solo, afetam o desenvolvimento das plantas (Gourlet -Fleury et al. 2011; Ostertag 2010; Paoli e Curran 2007; Slik et al. 2010; Baker, 2003). Juntamente com as propriedades físicas, como as alterações na densidade e no teor de argila do solo (Kozlowski 1999; Nawaz et al. 2013). Estes fatores podem



limitar as taxas de crescimento das plantas, alterando a produtividade primária líquida (Wright et al, 2011; Alvarez-Clare et al, 2013), com efeitos diretos dos solos no crescimento das árvores (Cleveland et al. 2011).

Muitos estudos demonstram que a diversidade e a composição de espécies podem desempenhar importante papel na distribuição da biomassa (Finegan et al. 2015). Como por exemplo a presença de espécies com alta produtividade (Loreau e Hector, 2001; Cardinale et al. 2007; Finegan, 2015), grandes árvores (Clark e Clark, 2000; Marshall et al., 2012; Slik et al., 2013), espécies com alta densidade da madeira, e a riqueza de espécies, principalmente na região que o estudo foi desenvolvido, altamente diverso floristicamente (Thomas et al. 1998, Martini et al. 2007). Esses fatores podem determinar a produtividade do ecossistema (Tilman et al., 2001; Finegan et al, 2015).

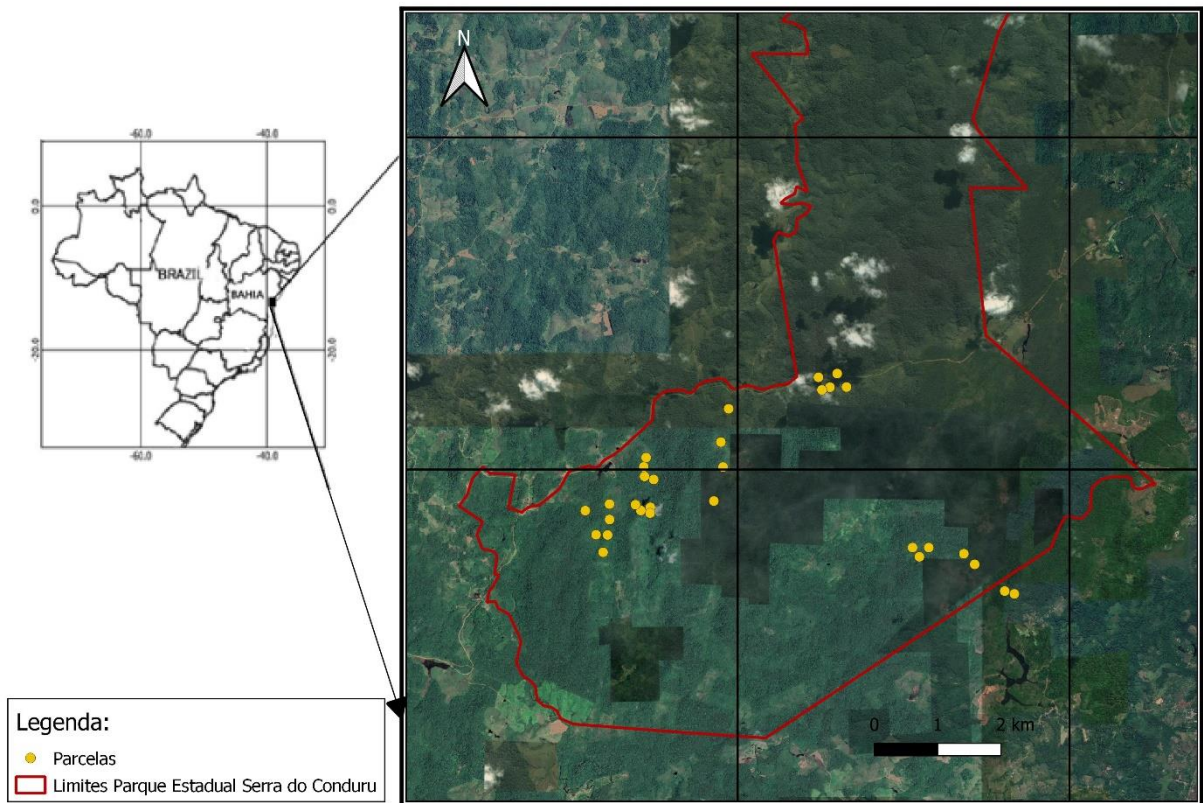
Entender a variabilidade das taxas de recuperação da biomassa em florestas tropicais secundárias se torna essencial visto que a biomassa pode ser afetada por diferentes fatores ambientais (Norden et al. 2015). Mas, a limitação de informações sobre fatores potenciais como histórico do uso do solo, características físicas e químicas do solo, inclinação e riqueza de espécies, podem fornecer informações importantes para identificar padrões de recuperação da biomassa nessas florestas secundárias. Aqui nós analisamos como essas variáveis ambientais afetam o acúmulo de biomassa em florestas tropicais secundárias.

## **Material e Métodos**

### *Local de estudo*

O estudo foi realizado na região sul da Bahia, Brasil, em uma área de proteção ambiental, o Parque Estadual da Serra do Conduru - PESC, localizado nas coordenadas 14° 30'16" S e 39° 6'36" W (Figura 1). O Parque possui uma área de 10.000 ha, composta por florestas em diferentes estágios de regeneração, com matas secundárias em diferentes idades a áreas bem preservadas (Piotto et al. 2009). A temperatura média mensal é de 24°C, com precipitação média anual de 2.000 mm distribuída uniformemente ao longo do ano (Santos et al. 2018). A vegetação é classificada como floresta tropical úmida, no bioma Mata Atlântica, com estratos emergentes, dossel, subdossel e herbáceo e altíssima diversidade de espécies (Thomas 2003), que já foi classificada como uma região com um dos maiores números de espécies de árvores no

Brasil e do mundo (Martini et al. 2007), além de ser uma região com diversas espécies endêmicas e ameaçadas de extinção.



**Figura 1.** Mapa de localização da área de estudo, no Parque Estadual da Serra do Conduru, Bahia, Brasil.

Os dados utilizados para selecionar as parcelas de acordo com a quantidade de biomassa foram pré-determinados em uma coleta realizada no ano de 2016, utilizando um sensor Lidar, que utilizou um sensor Optech Orion M300 de múltiplos retornos, acoplado a um avião voando a uma altitude média de 850 m acima do nível do solo, em uma área de 4.529ha, sobre a região sul do Parque Estadual Serra do Conduru. Os dados de biomassa produzidos a partir da coleta de dados do Lidar foram disponibilizados em arquivo raster com uma resolução espacial com escala de 50m de pixel, porque as parcelas de inventário de campo usadas para desenvolver a relação lidar-AGB foram de 50x50 m.

#### *Idade das parcelas*

Para a determinação da idade das parcelas utilizamos uma série temporal de imagens de satélite Landsat de 1984 a 2016, para construir mapas de idade das florestas, utilizando dados de sensoriamento remoto. Nós utilizamos áreas que foram classificadas como florestas secundárias, com menos de 32 anos, durante a classificação das idades 23% das áreas foram classificadas como floresta secundária com menos de 32 anos (em 2016). 9% como áreas não florestadas e os outros 69% restantes abrigavam florestas secundárias com mais de 33 anos ou florestas intactas.

#### *Medidas de Biomassa acima do solo*

Utilizamos 30 parcelas de 0,25ha (50 x 50m) divididas em classes de idade e quantidade de biomassa acima do solo. Nós utilizamos as seguintes classes de idade: Classe 1: 0 a 10 anos; Classe 2: 11 a 20 anos; Classe 3: 21 a 32 anos, dentro de cada classe de idade. Com base no banco de dados coletados com o sensor Lidar, foram escolhidas cinco (5) parcelas com alto e cinco (5) com baixa biomassa, totalizando assim, na classe de idade 1: 5 parcelas com alta AGB e cinco parcelas com baixa AGB; Classe de idade 2: 5 parcelas com alta AGB e 5 parcelas com baixa AGB; Classe de idade 3: 5 parcelas com alta AGB e 5 parcelas com baixa AGB. Para localizar as parcelas dentro das classes pré-estabelecidas, nós usamos o *software* QGIS (QGIS Development Team, 2020), plotando os arquivos *shapefile* com dados das idades e arquivo *raster* da biomassa para selecionar as parcelas.

#### Determinação da biomassa acima do solo

Para determinar a biomassa nas parcelas, medimos todas as árvores com DAP >5cm a 1,3m de altura do solo. Realizamos coleta do material botânico para todas as espécies, as coletas foram identificadas com a ajuda da literatura, de especialistas e por comparações com espécimes constantes no herbário André Mauricio Vieira de Carvalho, do Centro de Pesquisas do Cacau (CEPEC/ CEPLAC), mun. de Ilhéus, Bahia. Os nomes das famílias das plantas estão de acordo com a classificação do APG IV (2016). Todos os espécimes coletados foram depositados no herbário CEPEC.

Para estimar BAS nós usamos uma equação alométrica geral proposta por Chave et al. (2014), como descrita a seguir:

$$BAS = \exp -1.803 - 0.976 * E + 0.976 \ln * \rho + 2.673 \ln(D) - 0.0299 \ln(D^2)$$

que utiliza as seguintes variáveis: D: diâmetro da árvore à altura do peito (DAP, cm),  $\rho$ : densidade da madeira para espécie ( $\text{g/cm}^3$ ), E: um índice climático, que combina sazonalidade da precipitação, sazonalidade da temperatura e um índice de déficit hídrico climático (Chave et al. 2014).

A densidade da madeira foi atribuída a cada espécie coletada, para isso usamos dados do Global Wood Density Database (Chave et al. 2009; Zanne et al. 2009) para obter a densidade da madeira de cada espécie, quando os dados para a espécie não estavam disponíveis usamos dados do gênero, quando esses não estavam disponíveis usamos dados atribuídos à família. A biomassa total acima do solo por parcela foi a soma da BAS das árvores individuais.

## **Propriedades do solo**

### *Avaliação Química do solo*

Para avaliar as propriedades químicas do solo, as coletas foram realizadas em campo, utilizando um trado (0-20 cm de profundidade), coletadas 5 amostras simples, para formar uma composta para cada parcela. Todas as amostras de solo foram enviadas para o Laboratório de Solos e Nutrição de Plantas na Embrapa Mandioca e Fruticultura na cidade de Cruz das Almas, Bahia. Os seguintes parâmetros foram avaliados: Fósforo ( $\text{mg/dm}^3$ ), pH ( $\text{H}_2\text{O}$ ), potássio trocável (K,  $\text{cmol}_c/\text{dm}^3$ ), potencial de acidez trocável (H + Al,  $\text{cmol}_c/\text{dm}^3$ ), sódio (Na,  $\text{cmol}_c/\text{dm}^3$ ), cálcio ( $\text{Ca}^{2+}$ ,  $\text{cmol}_c/\text{dm}^3$ ), magnésio ( $\text{Mg}^{2+}$ ,  $\text{cmol}_c/\text{dm}^3$ ), cálcio e magnésio (Ca+Mg,  $\text{cmol}_c/\text{dm}^3$ ), alumínio (Al,  $\text{cmol}_c/\text{dm}^3$ ), soma de bases (SB,  $\text{cmol}_c/\text{dm}^3$ ) matéria orgânica (OM,  $\text{g/Kg}$ ), capacidade de troca catiônica (CTC,  $\text{cmol}_c/\text{dm}^3$ ), porcentagem de saturação de bases (V, %). Os parâmetros capacidade efetiva de troca catiônica (CEC,  $\text{cmol}_c/\text{dm}^3$ ) e saturação por Al (m%), foram calculados posteriormente.

### *Avaliação Física do solo*

#### Densidade do solo

Os dados de densidade do solo realizados usando o método de amostra indeformada, utilizando anéis volumétricos de Kopecky, anel de aço inoxidável, com 5 cm de diâmetro e 2,5 cm de profundidade e volume de aproximadamente  $50 \text{ cm}^3$ . Uma amostra composta de cinco subamostras retiradas de cada parcela a 5 cm de profundidade cravado no solo com um martelo com ponta de borracha. As amostras

foram protegidas com plástico, para evitar sua deformação e rotuladas imediatamente após a remoção, e armazenadas para posteriormente ser levadas a laboratório. No Laboratório de Física do Solo, na Universidade Estadual de Santa Cruz (UESC), foram extraídas dos cilindros pesados (extraíndo o peso úmido), secos a 105 °C por 48 h e pesados novamente (peso seco) a diferença entre peso úmido e seco em estufa, foi usado para determinar o teor de umidade do solo. A densidade do solo foi determinada pela razão entre o peso do solo seco em estufa e o volume do anel.

#### Análise de Granulometria

A textura do solo foi medida usando o método de micropipeta modificado (Miller & Miller 1987), usando 10g de amostras de terra fina seca ao ar (solo seco e passado na peneira com malha de 2mm de abertura) foram suspensas com 40mL de hidróxido de sódio (NaOH) 0,1 mol L<sup>-1</sup>, agitadas no agitador rotatório de Wagner a 30 rpm, durante 16 horas, posteriormente essa amostra foi passada em peneira com malha de 0,053mm para separar a porção de areia, e o que restante da amostra foi diluída em 500ml de água destilada para a separação da amostra de argila. Posteriormente foram calculados os teores de areia, argila e silte de cada amostra.

#### **Histórico do uso do solo**

Para o levantamento das informações sobre o histórico do uso da terra (aquele que ocorria antes da área ser abandonada) foram realizados levantamento de informações com moradores locais, e coletadas informações nas áreas de estudo, como presença de espécies plantadas como cacau, seringa, coqueiro, entre outras e presença de construções abandonadas e cercas nas parcelas de estudo. Usamos as seguintes classes de histórico do uso do solo: área queimada, corte raso, exploração Seletiva, área alagada, Sistemas Agrofloretais (SAF) e não identificado (onde não possuía nenhum tipo de informação).

#### **Análise de dados**

Para evitar sobredispersão dos modelos com parâmetros do solo, que geralmente estão correlacionados e reduzir o número de variáveis redundantes, realizamos uma análise de componentes principais (PCA) com os parâmetros de química do solo: Fósforo, pH, potássio trocável, potencial de acidez trocável, sódio, cálcio, magnésio, cálcio e magnésio, alumínio, soma de bases, matéria orgânica, CTC, saturação de bases

(V), CEC e saturação por Al (m). Para a realização do PCA, padronizamos as variáveis para equalizar suas combinações na mesma escala nos eixos de ordenação do PCA (Schmitz et al. 2020). Como resultados os dois primeiros componentes do PCA explicaram 75% dos dados, assim utilizamos as variáveis que estavam presentes nos dois primeiros componentes nos modelos. PC1 está correlacionado com SB, K, CTC e MO, e PC2 está relacionado principalmente com pH, Al, V, m.

Usamos análises modelos lineares generalizados para avaliar as variáveis que influenciam o aumento de BAS em florestas tropicais secundárias. Para a seleção de modelos usamos os parâmetros químicas do solo selecionados pela análise de PCA: SB, K CTC, MO, pH, Al, V e m, utilizamos a textura (areia e argila), densidade do solo (Ds), histórico de uso da terra antes do abandono (SAF, corte raso, cabruca e pastagem), ocorrência de queimadas, riqueza de espécies, densidade de indivíduos e declividade. Os modelos completos iniciais com todas as variáveis foram reduzidos gradualmente até que apenas os fatores significativos permanecessem. Todas as análises estatísticas foram calculadas com R 3.5.1 ([www.r-project.org](http://www.r-project.org)).

## **Resultados**

Encontramos no total 9.562 indivíduos pertencentes a 410 espécies diferentes em 56 famílias, nas 30 parcelas de floresta secundária amostradas. A densidade de indivíduos variou entre 164 a 2.424 indivíduos  $\geq 5\text{cm}$  DAP/ha e a área basal variou entre 3,66 e 41,34m<sup>2</sup>/ha. A densidade média da madeira variou de 0,53 a 0,68 (Tabela 1) e foi relacionado com a AGB ( $p=0.0205$ ) e a média de p em florestas secundarias com maior biomassa foi significativamente maior do que em florestas de baixa biomassa.

**Tabela 1.** Características da área em parcelas de floresta secundária no Parque Estadual Serra do Conduru, Bahia, Brasil. BAS: Biomassa acima do solo, Área basal, número de indivíduos, Riqueza de espécies, pH, CTC: capacidade de troca catiônica, v: saturação por bases, m: saturação por Al, Ds: densidade do solo, Areia e Argila.

	<b>BAS</b>	<b>Area basal</b>	<b>Dens. Ind.</b>	<b>Riqueza</b>	<b>ph</b>	<b>CTC</b>	<b>v</b>	<b>m</b>	<b>Ds</b>	<b>Areia</b>	<b>Argila</b>
	(Mg/ ha)	m <sup>2</sup> /ha	Ind/ha		em água	cmol /dm	%	%	cm <sup>3</sup>	g/kg <sup>-1</sup>	g/kg <sup>-1</sup>
Mínimo	6.62	3.66	164	15	4.70	1.30	13.0	3.57	0.82	159.20	102.56
							0				
Máximo	481.95	41.3	2424	142	5.40	10.0	39.0	49.7	1.35	843.30	623.36
						1	0	2			
Média	153.88	17.95	1258	73	5.03	6.84	23.7	22.6	1.07	621.50	257.92
							0	5			
Desvio Padrão	104.25	9.9	499	36	0.20	1.89	6.65	10.7	0.13	144.06	117.08
								1			

AGB variou entre 6,62 t/ha e 481,95 t/ha entre as diferentes parcelas avaliadas (Tabela 1). Embora a AGB não tenha variado com a idade, o melhor modelo selecionado foi com a riqueza de espécies que foi positivamente relacionada a AGB (Figura 2), com as variáveis: densidade de indivíduos, densidade da madeira (p), pH, potássio (K) e ocorrência de fogo como histórico de uso do solo, apresentou AIC<2 (Tabela 2).

**Tabela 2.** Resultados da seleção de Modelos lineares generalizados, das variáveis ambientais sobre a biomassa acima do solo.

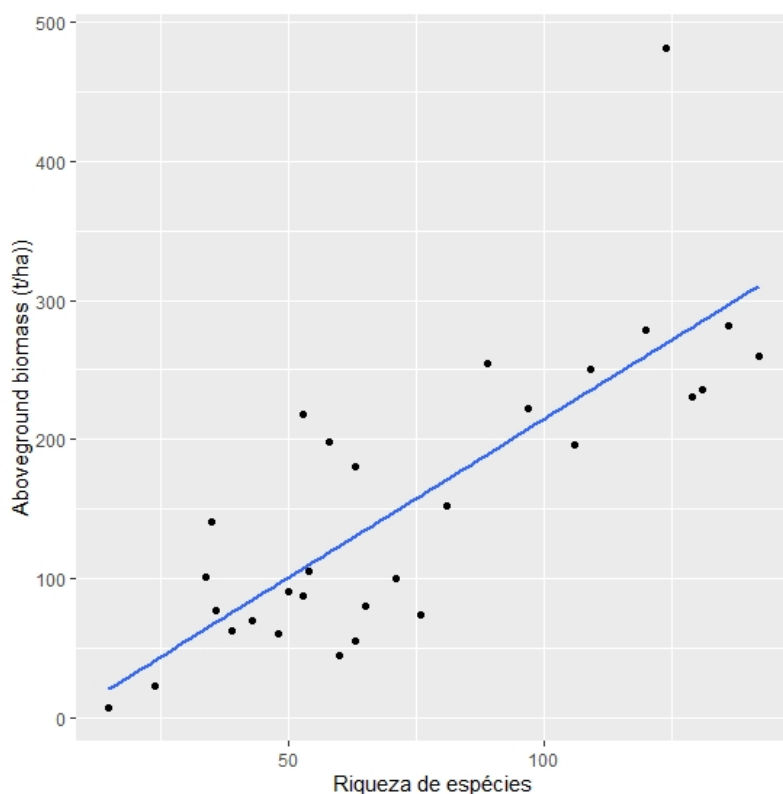
Métricas	Modelos	Parâmetros		
		$\Delta_i$	k	$W_i$
Biomassa acima do solo	1. Número de Indivíduos + Riqueza + pH +SB +K +Al +CTC +V +m + MO + CWD + Ds + Areia + Argila + Ocorrência de Fogo + Histórico de uso do solo + Inclinação	73.7	18	<0.001
	2. Riqueza + Indivíduos + pH + V + p + idade + Ocorrência de fogo	2.6	7	0.102
	3. Riqueza + Indivíduos + pH + K + Ocorrência de	0	3	0.873

	fogo			
	4. Null	16.7	2	<0.001

SB: soma de bases; CTC: capacidade de troca catiônica; V: saturação por bases; m: saturação por Al; MO: matéria orgânica; CWD: Ds: densidade do solo.

### Diversidade de espécies

A biomassa acima do solo aumentou significativamente com o aumento na riqueza de espécies (Tabela 3;  $p=0.000$ ; Figura 2). As parcelas que obtiveram o maior número de espécies foram as que apresentaram maior biomassa, enquanto as áreas com menor biomassa apresentaram menor número de espécies e muitas parcelas dominadas por espécies consideradas pioneiras como *Henriettea succosa*, *Miconia mirabilis* e *Tapirira guianensis*.



**Figura 2.** Influência da riqueza de espécies sobre a biomassa acima do solo em florestas tropicais secundárias.

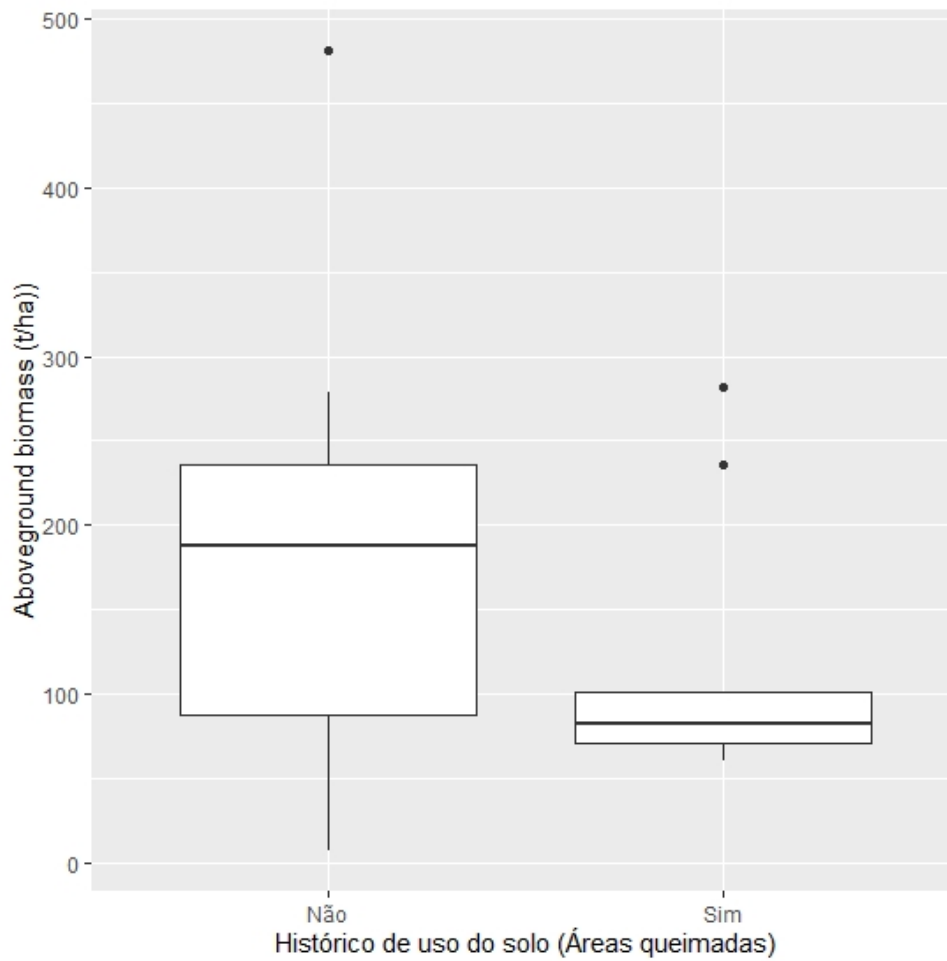


Tabela 3. Variáveis com efeitos significativos na biomassa acima do... em florestas secundárias.

<b>Biomassa acima do solo</b>			
	<i>Estimate</i>	t-value	p
<b>Riqueza</b>	0.0084	-5.40	0.0000***
<b>Dens. ind</b>	0.1417	4.901	0.0003***
<b>K</b>	4.84	2.65	0.0016**
<b>pH</b>	0.017	2.76	0.0109*
<b>Ocorrência de queimadas</b>	-0.0247	1.97	0.0490*

O histórico do uso do solo não foi significativamente relacionado com a biomassa, porém a ocorrência de fogo previamente à regeneração das áreas foi fortemente significativo com a biomassa (Figura 3;  $p=0.049$ ). em relação as variáveis de solo, a granulometria, densidade do solo e variáveis químicas do solo como, etc, alumínio, soma de bases e matéria orgânica não foram significativamente relacionadas com a biomassa. Porém o pH e saturação por bases (v) foram relacionados com a biomassa ( $p=0.010$  e  $p=0.002$  respectivamente, Figura 4).

Parâmetros do solo e uso prévio da terra não tiveram efeito significativo sobre AGB.



**Figura 3.** Influência do histórico de uso do solo, com as áreas queimadas anteriormente à regeneração das florestas, sobre a biomassa acima do solo em florestas tropicais secundárias.

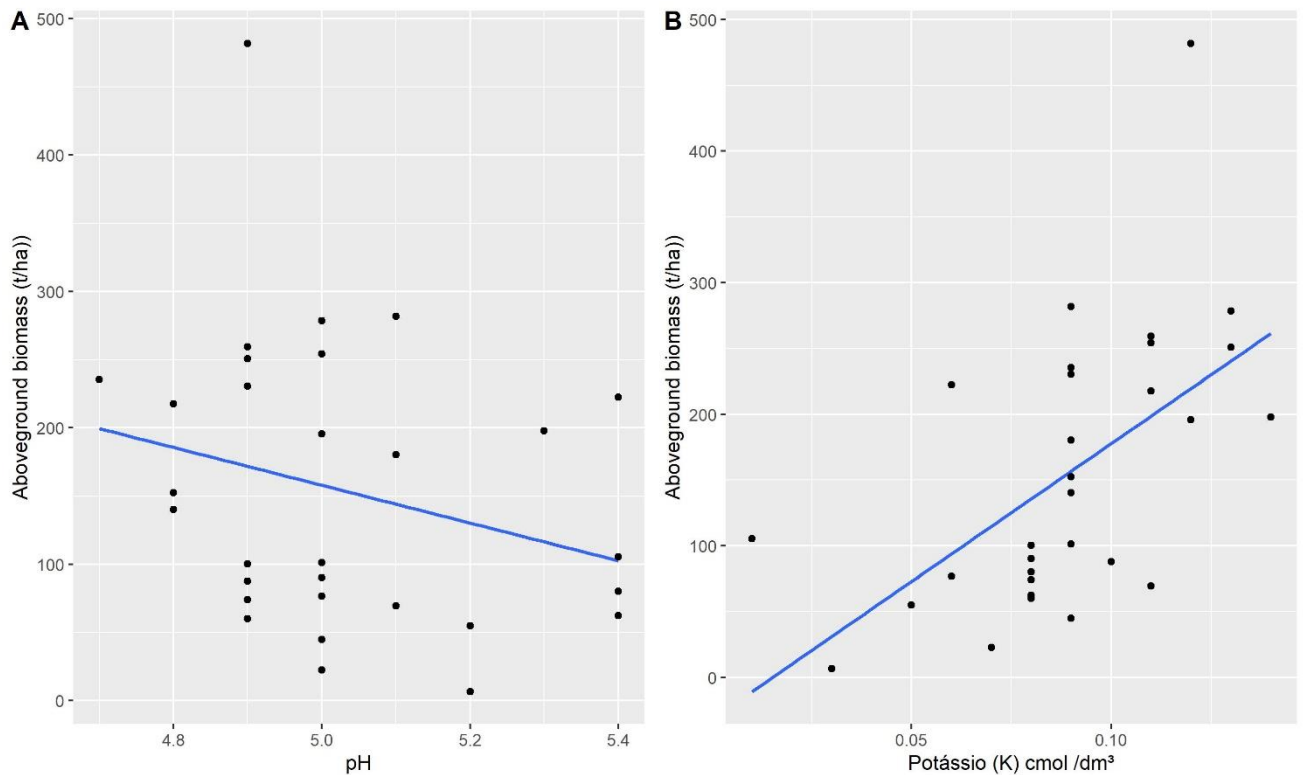


Figura 4. Influência das variáveis de solo: pH e Potássio (K) sobre a biomassa acima do solo em florestas tropicais secundárias.

### Discussão

Estudos realizados em florestas tropicais contribuem para a aumento de informações sobre a recuperação da biomassa, essa que pode ser considerada muito variável (Becknell & Powers 2014, Rozendaal & Chazdon 2015). Essa grande variação na biomassa acima do solo, é influenciado por diferentes variáveis ambientais, que podem alterar os estoques de biomassa nas florestas tropicais. Aqui nós utilizamos uma grande quantidade de variáveis ambientais para tentar explicar como essas relações ocorrem em florestas tropicais secundárias, um ambiente altamente complexo. Nossos modelos explicaram a variação da biomassa em apenas poucas variáveis, essas foram, riqueza de espécies, número de indivíduos, ocorrência de queimadas, pH e Potássio do solo.

Encontramos aqui a riqueza de espécies como a variável que melhor explica a maior quantidade de biomassa. Podemos acreditar que essa tendência foi impulsionada pelo fato de que a maior diversidade nessas florestas secundárias pode ser devido a uso diversificado dos nichos, pela ocorrência de espécies pioneiras que ainda persistem no ambiente, juntamente com espécies de sucessão tardia, tolerantes à sombra que se estabelecem na sombra das pioneiras (Norden et al. 2009; Breugel et al. 2013) que possuem necessidades diferentes e muitas vezes complementares. Essa alta diversidade de espécies em florestas jovens pode ocorrer também devido pela presença de árvores remanescentes, muitas delas de grande porte (Clark e Clark, 2000; Marshall et al., 2012; Slik et al., 2013), que podem atrair agentes dispersores de sementes (Chazdon 2014), pela proximidade com florestas naturais, além de ser importantes reservatórios de biomassa. Por exemplo, um trabalho realizado na Costa Rica demonstrou que 31% da diversidade de árvores com DAP >10 cm foi recuperada próximo aos 20 anos de regeneração (Oberleitner et al. 2021). Considerando que nosso trabalho foi realizado em uma região com alta diversidade de espécies (Martini et al. 2017), esses resultados demonstram a importância da conservação dessas florestas hiperdiversas para a regulação do clima.

Após a riqueza de espécies, a ocorrência de queimadas apresentou efeito sobre distribuição de biomassa, porém não foi impactada pelas outras tipos de uso anterior do solo, resultados similares foram relatados em outros estudos (Poorter et al. 2016; Oberleitner et al. 2021). Acreditamos que a ocorrência de fogo como histórico do uso da terra, demonstra alta capacidade explicativa, pois os incêndios são distúrbios antropogênicos com alto impacto, modificando diretamente a estrutura florestal, a dinâmica e composição de espécies em florestas tropicais (Bond e Keeley 2005; Cochrane et al., 2004). Além disso, como os incêndios podem remover grande parte da vegetação (Menezes et al.2019), reduções no banco de sementes e na serrapilheira do solo (Dodonov et al. 2013) e da chuva de sementes (Rocha et al. 2022), isso pode afetar a velocidade de regeneração do ambiente, alterando o crescimento das plantas, e a regeneração das florestas (Dupuy & Chazdon 2008).

As condições do solo demonstram ser importantes variáveis preditivas da biomassa em florestas tropicais em regeneração (Wang et al. 2015; Hofhansl et al. 2020) por afetar o crescimento das plantas (Soong et al. 2020). Dessa forma espera-se que essas variáveis tenham efeito sobre a recuperação da biomassa em florestas

secundárias, porém em nossos estudos não encontramos essa relação, o que foi relatado em outros estudos similares ao nosso (Poorter et al. 2017; Rozendaal et al. 2019; Oberleitner et al. 2021), embora todas as variáveis de solo tenham sido medidas diretamente em campo. Somente as variáveis pH e K apresentaram relação significativa com a biomassa. O pH do solo representa uma das mais importantes variáveis do solo, pois pode afetar como os nutrientes do solo poderão ser ou não absorvidos pelas plantas, e nossos resultados demonstraram que os nossos solos podem ser considerados com acidez elevada, por apresentar valores menores que 5.

### **Conclusões**

O aumento dos estudos realizados nesses ambientes complexos, são essenciais para detectar padrões durante a sucessão das florestas. E compreender quais fatores ambientais melhor respondem a biomassa, podem contribuir para uma melhor previsão dos caminhos sucessionais desses novos ambientes. Porém para entendermos melhor os fatores ambientais, necessitamos de levantamentos mais completos de fatores ambientais e das condições locais.

De fato, a riqueza de espécies influencia na biomassa em florestas tropicais secundárias, e assim podem promover o fornecimento de outros serviços ecossistêmicos como o sequestro e armazenamento de carbono (Poorter et al. 2015; Chazdon et al. 2016), e nós reforçamos essa relação com os resultados dos nossos estudos. Nós usamos aqui amostragem com tamanhos de parcelas maiores (0,25ha) que o comumente utilizado, e isso contribui para melhor amostragem, e utilizamos uma grande quantidade de variáveis ambientais que foram exaustivamente amostradas em campo, demonstrando que a biomassa é controlada por fatores ambientais como riqueza de espécies, densidade de indivíduos, pH, K e histórico do uso do solo. Esses resultados são importantes pois documentam efeitos que muitas vezes não estão disponíveis e podem limitar a compreensão da recuperação, dinâmica e sequestro de carbono em florestas tropicais secundárias. Assim os esforços de conservação devem dar mais atenção para as florestas tropicais secundárias e seu potencial de conservação da biodiversidade e manutenção de diversos serviços ecossistêmicos.

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